RESEARCH Open Access



Long-term predictors of severe exacerbations and mortality in a cohort of well-characterised adults with asthma

Oliver Djurhuus Tupper^{1*} and Charlotte Suppli Ulrik^{1,2}

Abstract

Background: We aimed to explore long-term predictors of severe exacerbations and mortality in adults with well-characterised asthma.

Study design and methods: Adults (aged \geq 15) with an objectively verified diagnosis of asthma were recruited from a Danish respiratory outpatient clinic between 1974 and 1990. All individuals were followed in Danish registries for vital status, hospital admissions for asthma and cause of death until end of 2017. Predictors of exacerbations were obtained from a repeated measures model. Standardised mortality rates (SMR) for all-causes were compared with the Danish background population. Hazard ratios for mortality were obtained from a cox proportional hazards model in a two-step process.

Results: At baseline, the cohort comprised 1071 patients (mean age 38, SD 16, 61% women), of whom 357 (33%) died during follow-up, with 93 (26%) dying from asthma (primary diagnosis). We found an SMR of 1.24 (95% CI 1.11– 1.37, p < 0.001) for all-cause mortality. Baseline predictors for asthma-related death and repeated severe exacerbations were increasing age, ever smoker, $FEV_1 < 80\%$ pred., high blood eosinophils, longer duration of symptoms and use of SABA > twice daily. Being non-atopic, having a positive histamine challenge test and symptoms more than twice a week were also predictors of repeated exacerbations.

Conclusions: Markers of poor asthma control, including high use of SABA, are predictors of long-term exacerbation rate and mortality over 30 years in patients with well-characterised asthma. Improving asthma control, including lung function and reducing use of reliever medication, is vital for improving the long-term outcome of asthma.

Keywords: Asthma, Mortality, Exacerbations, Airway hyperresponsiveness, TRAIL

Introduction

Asthma is one of the most common diseases worldwide [1]. Also, asthma places a massive burden due to profound societal and healthcare costs [2, 3].

A multitude of short-term studies, case reviews and national cross-sectional studies have provided us with a good understanding of critical short-term risks for exacerbations and mortality in persons who have asthma. Where age, smoking, poor asthma control, insufficient asthma medication, pulmonary function and lack of follow-up are vital factors [1, 4–6].

Exacerbations present a significant burden on persons with asthma. Exacerbations lead to contact with or admission in the healthcare sector, and in some cases, near-fatal or fatal asthma [7]. Previous studies have found the following factors to be associated with exacerbations, Age, lung function, comorbidities, sputum eosinophils and disease control [8, 9]. However, the

Full list of author information is available at the end of the article



© The Author(s) 2021. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication in a credit line to the data.

^{*}Correspondence: olivertupper@gmail.com

¹ Respiratory Research Unit, Department of Respiratory Medicine, Hvidovre Hospital, Copenhagen University Hospital-Hvidovre, Kettegaard Alle 30, 2650 Hvidovre, Denmark

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 2 of 9

majority of these studies have a relatively short follow-up. As the severity for individual asthma patients can vary considerably, and there appears to be substantial instability of phenotypes, potentially factors predictive of short-term outcomes may change long-term [10, 11].

Very few cohort studies have reported risk factors for asthma-related mortality [12, 13]. The majority of information we have is based on all-cause mortality cohorts or older case—control studies [5, 14–16]. Many of the short-term factors also appear to affect long-term all-cause mortality, particularly age, smoking and reduced lung function. However, the long-term consequences and risks for exacerbations and, particularly, asthma-related mortality remain an area ripe for further knowledge.

This long-term Danish asthma cohort study aimed to investigate potential risk factors identified at cohort enrolment for repeated exacerbations, all-cause and asthma-related mortality in adults with well-characterised asthma. Additionally, to investigate the mortality rate in adults with asthma compared with the Danish background population.

Methods

This was a long-term observational cohort study. The cohort has previously been described in Ulrik et al. [17] and Ali et al. [18] The Cohort consists of consecutive persons age 13 or above, referred to the Allergy and Chest Clinic Frederiksberg Hospital, Denmark, between 1974 and 1990. All persons were referred due to known or suspected asthma. The cohort will be referred to as the treatable traits in asthma—impact on long-term outcome (TRAIL) Cohort.

The study was approved by the ethical committee for the Capital Region of Denmark (H-17025043) and The Danish Data Protection Agency (2013-41-2618).

Cohort

The cohort comprised all persons diagnosed with and followed for asthma between 1974 and 1990 at Frederiksberg Respiratory and Allergy Clinic, Denmark. The persons included were≥13 years old at baseline. The diagnosis of asthma was made by a specialist in respiratory medicine based on a typical history (wheezing or attacks of breathlessness; chest tightness; cough triggered by exercise, exposure to allergens or irritants or respiratory infections) and at least one of the following:

- (1) FEV $_1$ reversibility>15% (and an absolute increase of>150 ml) after a standard dose of short-acting β_2 -agonist (SABA), oral corticosteroid or both (30 mg/day) for 14 days.
- (2) Diurnal variability in peak expiratory flow (PEF) rate > 20% and absolute variation > 100 l/min.

(3) Positive histamine provocation test, with the provocative concentration of histamine that results in a 20% drop in FEV₁ (PC₂₀) \leq 8 mg/ml.

All cut-off values are based on clinical practice at baseline. At the time of referral to the respiratory outpatient clinic, a comprehensive history was obtained. Total serum IgE was determined by paper radio-immunosorbent test (Pharmacia). A skin prick test with standard aeroallergens was performed. The blood eosinophil count was determined three times for each patient, the most abnormal result was recorded. Forced expiratory volume in 1. second (FEV₁) and forced vital capacity (FVC) were measured using a dry-wedge bellows spirometer (Vitalograph). The best of three technically acceptable readings were recorded. The tests were repeated 15 min after inhalation of a standard dose of bronchodilator. Reversibility of FEV₁ was calculated as (FEV₁ after – FEV₁ before)/FEV₁ before). All baseline data were gathered from patient records. All persons went through the same diagnostic algorithm for persons referred for suspected asthma.

Outcomes

Information on hospital admissions and emergency department visits were obtained from the Danish national patient registry. Hospital and emergency department admissions with the primary diagnoses as the following were defined as asthma exacerbation: acute lower respiratory infection (ICD-8: 480–486 and ICD-10: DJ12-18) or chronic airway disease (ICD-8: 490–493 and ICD-10: DJ40–47). A new admission within 14 days of discharge was counted as the same exacerbation.

All participants were followed from their baseline visit (from 1974 to 1990) and until 31 December 2017. Information about deaths was obtained from the Danish Death Register. Asthma-related death was defined as the cause of death due to acute lower respiratory infection (ICD-8: 480–486 and ICD-10: DJ12-18) or asthma and COPD (ICD-8: 490–493 and ICD-10: DJ40–47).

Mortality in the Danish background population was calculated based on data from Statistics Denmark.

Statistics

Differences at baseline were compared using student's t-test, Mann–Whitney U-test and Fisher's exact test. The indirect standardised mortality rate (SMR) for the TRAIL cohort was calculated in comparison to all-cause mortality in the entire Danish population. Mortality rates were stratified by age (0–45, 46–69 and \geq 70 years) and year of death (1974–1989, 1990–1999 and 2000–2017) to account for differences in mortality across age groups and time. The following factors, recorded at baseline,

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 3 of 9

potentially being predictors of exacerbation and death were examined: Age, decade of inclusion in the cohort, sex, childhood- or adulthood-onset, duration of symptoms before baseline, FEV1 predicted, FEV1/FVC ratio, blood eosinophils, total IgE, positive or negative skin prick test, baseline asthma medication, airway hyperresponsiveness to inhaled histamine and tobacco exposure. We stratified eosinophils by:<0.09, in-between or above 0.4 [19]. Cut-offs for β_2 -reversibility and peak flow variability are based on current Danish guidelines. Cut-off used for PC $_{20}$ based on a study by Woolcock et al. [20]. Annualised exacerbation rate was calculated by dividing the number of total exacerbations with years of follow-up.

We used the Cox proportional hazards model with the length of follow-up as the underlying time scale to examine the associations between characteristics obtained at baseline (1974–1990) and death. The proportional hazards assumptions were checked by testing for a nonzero slope in a generalised linear regression of the scaled Schoenfeld residuals on functions of time in continuous variables, and we checked for parallel, non-crossing Kaplan–Meier curves for categorical variables.

There were missing data on the histamine challenge test (25% missing), this was not included in the main analyses, but as a subgroup analysis including only complete cases.

Factors associated with long-term risk of exacerbations were examined by a modified cox proportional hazards model, the Prentice, Williams and Petersen gap-time model [21]. The model allowed us to account for multiple events for each participant. A gap-time model accounts for the first exacerbation affecting the likelihood of the next exacerbation. Repeated exacerbations were capped at three due to a limited number of observations with higher exacerbation frequency.

The effect of each possible factor on exacerbations and death were determined in two steps: first, in a bivariate model, adjusted for length of follow-up. The multivariable models for exacerbation and death were all adjusted for age, smoking and $FEV_1\%$ pred. The remaining variables included in the multivariable models were selected based on statistically significant association with exacerbation or death in the bivariate analyses. Statistical analyses were performed using SAS Enterprise Guide, version 7.15 (SAS Institute Inc. Cary, NC, USA). A two-tailed p-value < 0.05 was considered statistically significant.

Results

A total of 1071 persons (649 women) with asthma were included in the present analyses, and the median follow-up was 30 years (IQR 26–35 years).

Exacerbations

A total of 222 (20.6%) persons in the cohort had exacerbations that required hospital admission between baseline and December 31st 2017; of these, 135 (13%) had one, 48 (4.5%) had two and 39 (3.6%) had three or more (up to a maximum of seven). The median time between enrolment into the cohort and first exacerbation was 18.9 (IQR 10.1-28.0 years). The median time between first and second exacerbation was 78 days (IQR 31 days to 0.5 yrs). The median time to third was 33 days (IQR 20 days to 99 days). Patients with three or more exacerbations had more tobacco exposure (50% more pack-years at baseline), almost all (85%) used SABA more than twice daily, and they had a lower level of lung function (Table 1 and Additional file 1: Table S1). Additionally, they had more pronounced airway responsiveness to histamine (lower PC_{20}), and a higher number were non-atopic.

Factors of time appeared well associated with repeated exacerbations increasing age, adult-onset asthma and duration of symptoms before baseline visit (Table 2). Poor asthma control at baseline was also associated with later exacerbations as poor FEV_1 , daily symptoms, and excessive SABA usage showed higher hazard ratios. Finally, high blood eosinophils, more pronounced AHR and being non-atopic were all associated with repeated exacerbations.

Mortality

During follow-up, 357 (33%) persons died, of whom 93 died of asthma-related causes (Table 3).

All-cause mortality, after adjusting for age and year of death, was higher in the TRAIL cohort compared with the Danish background population with a standardised mortality rate (SMR) of 1.24 (95% CI 1.11-1.37, p < 0.001), which equates to an approximately 24% higher mortality rate among patients with asthma. Additional file 1: Table S2 shows mortality data stratified by age and year of death. Further analyses revealed a substantially higher proportion of deaths due to airway related causes among the TRAIL cohort than the Danish population (Table 3). Interestingly, the most pronounced difference in mortality rate was observed in the younger age groups when compared with the general Danish population. Persons aged 45 and below had an SMR of 2.47 (95% CI 1.63-3.31, p<0.001), and those aged 46 to 69 years had an SMR of 1.56 (95% CI 1.33–1.80, p<0.001). While those 70 years and above did not differ from the background population (SMR 0.95 95% CI 0.80–1.09, p 0.477).

A comparison of baseline characteristics of persons still alive and those who died during follow-up can be seen in Table 4 for asthma-related death and all-causes in Additional file 2: Table S3. Our analyses showed that those

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 4 of 9

Table 1 Comparison of baseline characteristics of the TRAIL cohort, between those who experience 0, 1–2 and 3 + exacerbations

| | 0 (n = 849) | 1–2 (n = 182) | 3 + (n = 40) | p-value |
|--|---------------------|---------------------|---------------------|---------|
| Sex, n women (%) | 506 (60) | 114 (63) | 29 (73) | 0.228 |
| Age | 35 (14) | 50 (15) | 54 (13) | < 0.001 |
| Decade of inclusion | | | | |
| 1974–1979 | 115 (14) | 40 (22) | 9 (23) | 0.013 |
| 1980–1989 | 641 (76) | 131 (72) | 27 (68) | |
| 1990 | 93 (11) | 11 (6) | 4 (10) | |
| Adultonset ^a , n (%) | 587 (69) | 147 (81) | 33 (83) | 0.002 |
| Ever smoker ^b , n (%) | 283 (33) | 74 (41) | 22 (55) | 0.006 |
| Pack-years ^c | 9.7 (8.7) | 15 (9.5) | 16 (15) | < 0.001 |
| Previous severe exacerbation, n (%) | 136 (16) | 18 (10) | 6 (15) | 0.100 |
| Daily symptoms, n (%) | 271 (32) | 98 (54) | 29 (73) | < 0.001 |
| Daily use of β_2 -agonist (> 2 puffs), n (%) | 399 (47) | 120 (66) | 32 (80) | < 0.001 |
| High dose ICS or any dose OCS, n (%) | 184 (22) | 46 (25) | 14 (35) | 0.096 |
| Lung function | | | | |
| FEV ₁ % pred | 86 (17) | 74 (21) | 61 (22) | < 0.001 |
| FVC % pred | 94 (15) | 87 (17) | 78 (16) | < 0.001 |
| FEV ₁ /FVC ratio | 78 (71–82) | 70 (58–77) | 58 (54-73) | < 0.001 |
| BD reversibility, n (%) | | | | |
| < 12% | 130 (16) | 25 (15) | 2 (5) | 0.221 |
| ≥ 12% | 688 (84) | 145 (85) | 35 (95) | |
| AHR ^d | 2.4 (1.7–4.5) | 2.1 (1.3–4.6) | 2.1 (1.00–3.1) | 0.072 |
| Peak flow variability, % | 22 (15–29) | 22 (13–26) | 21 (12–25) | 0.200 |
| Blood eosinophils | 0.34 (0.21–0.57) | 0.38 (0.21–0.57) | 0.45 (0.23–0.54) | 0.534 |
| Total IgE, IU/I | 129 (43–345) | 93 (35–317) | 125 (33–279) | 0.165 |
| Negative skin prick test, n (%) | 326 (38) | 114 (63) | 29 (73) | < 0.001 |

Data are presented as mean (standard deviation) or median interquartile range), unless otherwise stated

AHR airway hyperresponsiveness, BD bronchodilator, FEV₁ forced expiratory volume in 1 second, FVC forced vital capacity, ICS inhaled corticosteroids, IU International Unit, OCS oral corticosteroids

who died of asthma-related causes were older, more often had daily respiratory symptoms and were more likely to have a negative skin prick test, i.e. being non-atopic, at baseline.

The results from the Cox proportional hazards models are presented in Table 5 and Additional file 2: Table S4 for asthma-related and all-cause mortality, respectively. Age and smoking were associated with both all-cause and asthma-related mortality. A longer time from the onset of symptoms until a person was first seen in the outpatient clinic was associated with a higher HR of asthma-related mortality. Markers of poor asthma control were also associated with long-term mortality risk. High blood eosinophils (>0.4 × 10^9 /l)

were associated with asthma-related mortality, but not all-cause mortality. Additionally, an interaction between asthma onset and blood eosinophils meant the risk for mortality was more pronounced for persons with childhood-onset asthma. The decade of inclusion was associated with a higher risk of all-cause mortality, in that patients with earlier inclusion into the cohort had a higher risk of early mortality. There was no association between inclusion decade and asthma-related mortality or exacerbation risk.

For all-cause mortality, an interaction between skin prick test and blood eosinophils, meaning a positive skin prick test was associated with higher all-cause mortality if blood eosinophils were $< 0.09 \times 10^9 / l$.

 $[^]a$ Age \geq 18 years

^b Current or ex-smokers

^c For ever smokers

^d Missing data on 266

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 5 of 9

Table 2 Predictors of repeated asthma exacerbations

| | Bivariate model HR (95% CI) | Multivariable model HR (95% CI) |
|--|--------------------------------|------------------------------------|
| Sex, women | 1.17 (0.94–1.46) | _ |
| Age | | |
| 15-45 | 1.00 | 1.00 |
| 46-69 | 6.00 (4.67-7.71)** | 3.56 (2.64-4.81)** |
| ≥70 | 11.2 (7.29–17.2)** | 6.30 (3.91-10.1)** |
| Decade of inclusion | | |
| 1974–1979 | 1.21 (0.76-1.95) | _ |
| 1980–1989 | 1.10 (0.72-1.68) | _ |
| 1990 | 1.00 | _ |
| Years since symptom debut | 1.02 (1.01-1.03)** | 1.02 (1.00-1.03)* |
| Adult-onset ^a | 1.85 (1.41-2.43)** | 1.20 (0.81-1.79) |
| Ever smoker ^b | 1.57 (1.19–1.82)** | 1.50 (1.20-1.88)* |
| Pack-years ^c | 1.03 (1.02-1.04)** | 1.03 (1.01-1.04)* |
| Previous severe exacerbation | 0.68 (0.50-0.94)* | 1.27 (0.89–1.82) |
| Daily symptoms | 2.49 (1.98-3.12)** | 1.56 (1.22–1.99)* |
| Daily β_2 -agonist usage, > 2 | 2.2 (1.74-2.78)** | 1.50 (1.13-1.98)* |
| ICS prescribed at baseline, any dose | 1.37 (1.08–1.73)* | 0.93 (0.72–1.19) |
| Lung function | | |
| FEV ₁ pred. < 80% | 3.04 (2.41-3.83)** | 1.70 (1.29-2.25)* |
| FEV ₁ /FVC ratio, < 70% | 2.84 (2.28-3.55) * | 1.13 (0.86-1.49) |
| BD reversibility ^d | | |
| < 12% | _ | _ |
| ≥ 12% | 1.31 (0.96-1.81) | _ |
| AHR, mg/ml | | |
| <1 | 1.00 | 1.90 (0.99-3.67) |
| ≥ 1 to < 2 | 0.65 (0.44-0.96)* | 1.41 (0.72-2.76) |
| \geq 2 to < 8 | 0.56 (0.43-0.80)* | 1.92 (1.02-3.60)* |
| ≥8 | 1.01 (0.54-1.89) | 1.00 |
| Peakflow variability | | |
| < 20% | 1.00 | _ |
| ≥ 20% | 0.84 (0.68-1.04) | _ |
| Blood eosinophils, \times 10 ⁹ /I | | |
| < 0.09 | 1.31 (0.84-2.04) | 0.98 (0.60-1.59) |
| \geq 0.09 to \leq 0.4 | 1.00 | 1.00 |
| > 0.4 | 1.33 (1.08–1.65)* | 1.29 (1.03–1.61)* |
| Total IgE, ≥ 150 IU/I | 0.71 (0.57-0.89)* | 0.99 (0.77-1.27) |
| Negative skin prick test, n (%) | 0.36 (0.28-0.45)** | 1.67 (1.25–2.23)* |

Results from bivariate and multivariable cox proportional hazards (PWP) model shown as hazard ratio (95% CI)

AHR airway hyperresponsiveness, BD bronchodilator, FEV_1 forced expiratory volume in 1 second, FVC forced vital capacity, ICS inhaled corticosteroids, IU International Unit, OCS oral corticosteroids

Discussion

This was a long-term cohort follow-up of 1071 persons with a doctor's diagnosis of asthma based on objective criteria followed from baseline between 1974 and 1990 until the end of 2017 or death, whichever came first. Compared with the general Danish population, we found a higher mortality rate among the cohort, primarily in those of younger age. Predictors of asthma-related mortality were older age, longer symptom duration, smoking, reduced lung function, high SABA use, adult-onset disease and high blood eosinophils. Exacerbations were associated with no atopy, daily symptoms and AHR, in addition to the factors associated with mortality.

The average time between exacerbations decreased after each subsequent exacerbation. This finding correlates well with previous studies showing that recent exacerbations increase the likelihood of subsequent exacerbations [22].

Previous exacerbations before baseline were not associated with subsequent exacerbations following baseline. The explanation is likely that previous exacerbations could be any length of time before baseline in the TRAIL study, and as shown previously, the predictive value of previous exacerbations dissipates over 5 years [23].

The effect of increasing age seems to be the most critical predictor of repeated exacerbations across a prolonged period. This effect is not wholly understood but could partially stem from frequent viral respiratory tract infections together with decreased immune cell function. Additionally, a decreased effect of β_2 -adrenergic medicine and increased non-reversible airway obstruction could play a role [24, 25].

Reduced lung function has time and again been proven to predict future exacerbations across the short term [1]. The TRAIL cohort supports the importance of low FEV_1 as a predictor and shows that its importance prevails across 30 years.

Increased inflammation and corticosteroid insensitivity short-term, followed by long-term airway remodelling, is potentially the cause of smoking's long-term predictive value of repeated exacerbations [26].

Poor asthma control with daily symptoms or excessive use of reliever medication were of a particularly high risk of long-term exacerbations. As shown by previous studies, excessive use of SABA and poor symptom control leads to hospitalisation and, in the worst case, fatal asthma [27, 28].

To our knowledge, the finding that a negative skin prick test is predictive of repeated exacerbations has not been presented previously. Though when seen as a marker of non-atopy, it is consistent with studies showing that non-atopic asthma is harder to control and therefore have a higher likelihood of exacerbations [29].

^a Age > 18 years

^b Current or ex-smoker

^c Only ever-smokers

d 46 did not have data

^{*}p-value < 0.05. **p-value < 0.001. Multivariable model: Wald $Chi^2 = 321$ Degrees of freedom = 15. p < 0.0001

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 6 of 9

Table 3 Comparison of causes of death between persons from the TRAIL cohort and the Danish background population from 1974 to 2017, stratified by age groups 0–69 and 70 +

| | | TRAIL (n = 357) | Denmark (n = 2 113 293) ^a | Relative Risk | 95% CI |
|---|-------------|--------------------|---|---------------|---------|
| Asthma, bronchitis, emphysema and pneumonia | 0–69 | 36 (23%) | 26,811 (4%) | 5.3 | 4.0-7.1 |
| | 70 + | 57 (29%) | 85,225 (6%) | 5.0 | 4.0-6.2 |
| Cardiovascular causes | | | | | |
| Ischaemic cardiovascular disease | 0-69 | 18 (11%) | 80,118 (13%) | 0.89 | 0.6-1.4 |
| | 70 + | 21 (11%) | 298,467 (21%) | 0.5 | 0.4-0.8 |
| Other cardiac cause | 0-69 | 5 (3%) | 18,304 (3%) | 1.1 | 0.5-2.6 |
| | 70 + | 13 (7%) | 95,873 (6%) | 1.0 | 0.6-1.7 |
| Malignancy | | | | | |
| Malignant neoplasm | 0-69 | 32 (20%) | 181,116 (29%) | 0.7 | 0.5-1.0 |
| | 70 + | 29 (15%) | 274,408 (18%) | 0.8 | 0.6-1.1 |
| Airway neoplasm | 0-69 | 5 (3%) | 60,605 (10%) | 0.3 | 0.1-0.8 |
| | 70 + | 9 (5%) | 69,634 (5%) | 1.0 | 0.5-1.8 |
| Other causes | 0-69 | 62 (39%) | 338,517 (54%) | 0.7 | 0.6-0-9 |
| | 70 + | 70 (35%) | 664,233 (45%) | 0.8 | 0.7-1.0 |

^a Based on 1981-2017 data from statistics Denmark

There is a clear consensus that high eosinophil count is associated with short-term exacerbation risk, with both population and cohorts studies showing this association [30, 31]. Based on our findings across 30 years, it appears that this risk continues long-term.

We found a substantially higher mortality rate among individuals with asthma compared with the Danish background population, which is well in line with other asthma cohort studies and epidemiological studies [16, 32]. The higher mortality in the TRAIL cohort is in large part due to deaths being asthma-related (Table 1), with 26% of the fatal cases, but also higher a higher all-cause mortality rate among those aged 15–45. This is higher than other studies, Okayama et al. [12] and Lemmetyinen et al. [16] found that respiratory-related deaths accounted for 14% and 1% of deaths, respectively. This could be due to the participants in the TRAIL cohort were followed at secondary care facilities and therefore had more severe asthma than the other two cohorts, which were general population cohorts.

The drop in SMR between 1974 and 1999 and into the early 2000s match that reported by Ebmeier et al. [33]. Yet, despite this drop, mortality remains higher for individuals with asthma. Suggesting that there is still room for improvement, so which factors should we consider when evaluating patients with asthma?

Of the investigated significant factors, we found excessive use of SABA, and high-blood eosinophil count was unique to asthma-related mortality, while the remaining

factors were like that of all-cause mortality. Another study found a similar semblance of factors when comparing all-cause and respiratory-related mortality [12]. Potentially treatable traits associated with asthma-related mortality were excessive use of SABA, high blood eosinophils, and low FEV_1 . All have been shown numerous times to affect short-term and all-cause mortality and now, based on our findings, also on long-term asthma-related mortality [5, 15].

Adult-onset and longer duration of symptoms were both associated with an increased risk of asthma-related mortality, also after adjusting for age. This finding is well in line with findings that adult-onset has a poorer prognosis and suboptimal response to treatment than childhood-onset asthma [34]. Longer disease duration has been associated with continuing inflammation and, therefore airway remodelling, which in turn leads to adverse outcomes [35].

In the TRAIL cohort, a higher risk of all-cause mortality was associated with negative skin prick test, and this effect was more pronounced for individuals with low blood eosinophils. This finding is well in line with previous findings that individuals with non-Th2 asthma have poor outcomes [36].

On the other end of the spectrum, individuals with a very high blood eosinophil count have a higher risk of asthma-related mortality, particularly individuals with childhood-onset asthma. This finding goes well in hand with high eosinophils predicting exacerbations as Tupper and Ulrik *Respir Res* (2021) 22:269 Page 7 of 9

Table 4 Comparison of baseline characteristics of the TRAIL cohort, between those still alive and those who died of asthma-related causes

| | Alive (n = 978) | Dead (n = 93) | p-value |
|--|------------------|------------------|---------|
| Sex, n women (%) | 599 (61) | 50 (54) | 0.18 |
| Age, yrs | 36 (15) | 56 (12) | < 0.001 |
| Decade of inclusion | | | |
| 1974–1979 | 144 (15) | 20 (22) | 0.093 |
| 1980–1989 | 731 (75) | 68 (73) | |
| 1990 | 103 (10) | 5 (5) | |
| Years since symptom debut | 4 (2–13) | 10 (3–25) | < 0.001 |
| Ever smoker ^a , n (%) | 331 (33.8) | 48 (52) | < 0.001 |
| Pack-years ^b | 7.7 (3.5–14) | 15 (7.5–22.3) | < 0.001 |
| History of asthma exacerbation, n (%) | 159 (16) | 14 (15) | 0.883 |
| Daily symptoms, n (%) | 340 (35) | 58 (62) | < 0.001 |
| Daily β_2 -agonist usage | 2 (2–4) | 4 (3–6) | < 0.001 |
| ICS dosage at baseline | 200 (0-400) | 400 (0–600) | 0.082 |
| Lung function | | | |
| FEV ₁ % pred | 85 (17.6) | 62 (21.5) | 0.006 |
| FVC % pred | 94 (15) | 80 (19) | < 0.001 |
| FEV ₁ /FVC ratio | 75 (11) | 61 (13) | 0.024 |
| BD Reversibility, % | 18 (16–26) | 28 (16–43) | < 0.001 |
| AHR | 2.35 (1.60-4.36) | 2.00 (1.10-3.98) | 0.280 |
| Peak flow variability, % | 22 (15–29) | 23 (13–26) | 0.725 |
| Blood Eosinophils, \times 10 9 /l | 0.34 (0.21–0.57) | 0.42 (0.21–0.57) | 0.521 |
| Total IgE, IU/I | 125 (41–342) | 105 (36–316) | 0.317 |
| Negative skin prick test, n (%) | 403 (41) | 66 (71) | < 0.001 |

Data are presented as mean (standard deviation) or median interquartile range), unless otherwise stated

AHR airway hyperresponsiveness, BD bronchodilator, FEV_1 forced expiratory volume in 1 Second, FVC forced vital capacity, ICS inhaled corticosteroids, IU International Units

discussed above, though the association with mortality has only rarely been examined previously [37]. Furthermore, this upper limit has been shown to be associated with accelerated lung function decline previously [38].

While inclusion spanned three decades, most patients (54%) were included between 1985 and 1990. We can, therefore, not accurately comment on whether changes in practice from the 70's to the 90's affect mortality or exacerbation, as most participants were most likely managed by similar practices.

This study's unique strength lies in the exceptionally long follow-up and reporting on asthma-related mortality. Not to be overlooked is the large number of participants who all had well-established and well-characterised asthma at baseline. In combination, this provides substantial insight into the path for adult persons followed at outpatient clinics and allows us as practitioners to provide better information at the first visit.

There are a few limitations worth mentioning. We did not gather information on prescribed medicine and symptoms after baseline, as these have most assuredly changed, we cannot account for the effects these changes might have on the disease trajectories of the participants.

All participants were diagnosed with asthma based on objective criteria, and 65% were life-long never-smokers at baseline, and we are therefore confident of this diagnosis at baseline. However, as there were no follow-up visits, we cannot account for whether any participants developed concomitant COPD during the study and can therefore not account for this factor.

All participants were referred to a secondary care clinic, this may limit the generalisability.

The SMR has previously been shown to underestimate the actual mortality rate in comparison with a control group [39]. Meaning the actual mortality rate may be relatively higher than what we have reported.

Numbers of exacerbations is based on admission coding alone and not journal review. There is, therefore, a

^a Current or ex-smokers

^b For ever smokers

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 8 of 9

Table 5 Predictors of asthma-related mortality

| | Bivariate model HR (95% CI) | Multivariable HR (95% CI) |
|---|--------------------------------|------------------------------|
| Sex, women | 1.33 (0.89–2.01) | _ |
| Age | | |
| 15–45 | 1.00 | 1.00 |
| 46–69 | 16.4 (9.62-27.9)** | 5.11 (2.77-9.43)** |
| ≥ 70 | 29.0 (12.6-66.5)** | 9.00 (3.55-22.9)** |
| Decade of inclusion | | |
| 1974–1979 | 2.25 (0.84-6.06) | - |
| 1980–1989 | 1.67 (0.67-4.12) | - |
| 1990 | 1.00 | - |
| Years since symptom debut | 1.05 (1.04-1.06)** | 1.05 (1.03-1.07)** |
| Adult-onset ^a | 2.63 (1.49-4.65)** | 3.20 (1.42-7.21)* |
| Ever smoker ^b | 2.07 (1.38-3.11)** | 2.43 (1.58-3.74)** |
| Pack-years, only ever smokers | 1.06 (1.04-1.08)** | 1.03 (1.00-1.07)* |
| Previous severe exacerbation | 0.58 (0.30-1.11) | _ |
| Daily symptoms | 3.21 (2.11-4.89)** | 1.35 (0.86-2.12) |
| Daily β_2 -agonist use, > 2 puffs | 4.21 (2.57-6.89)** | 2.11 (1.26-3.663)* |
| ICS prescribed at baseline, any dose | 1.82 (1.17–2.84)* | 1.16 (0.73–1.73) |
| Lung function | | |
| FEV ₁ % pred. < 80% | 8.28 (5.04-13.6)** | 3.46 (1.94-6.16)** |
| FEV ₁ /FVC ratio, < 70% | 6.58 (4.27–10.1)** | 1.40 (0.84-2.33) |
| BD reversibility | | |
| < 12% | 1.00 | - |
| ≥ 12% | 1.70 (0.88-3.29) | = |
| AHR, mg/ml | | |
| <1 | 0.47 (0.17-1.31) | 0.95 (0.31-2.94) |
| ≥ 1 to < 2 | 0.36 (0.13-0.97)* | 1.03 (0.34-3.10) |
| \geq 2 to < 8 | 0.23 (0.09-0.58)* | 1.61 (0.56-4.60) |
| ≥8 | 1.00 | 1.00 |
| Peak flow variability | 0.84 (0.55-1.29) | - |
| Blood eosinophils, $\times 10^9/I$ | | |
| < 0.09 | 1.78 (0.79-3.96) | 1.23 (0.54-2.81) |
| \geq 0.09 to \leq 0.4 | 1.00 | 1.00 |
| >0.4 | 1.56 (1.02-2.37)** | 1.63 (1.05–2.53)* |
| Total IgE, < 150 IU/L | 0.75 (0.49-1.45) | _ |
| Negative skin prick test, n (%) | 4.10 (2.62-6.44)** | 0.65 (0.40-1.07) |

Results from bivariate and multivariable cox proportional hazards model shown as hazard ratio (95% CI)

AHR airway hyperresponsiveness, BD bronchodilator, FEV₁ forced expiratory volume in 1 second, FVC forced vital capacity, IU international units, ICS inhaled corticosteroids, OCS oral corticosteroids

risk of both under- and overestimating the actual number of severe exacerbations.

Persons with asthma have a higher rate of mortality than the background population. Markers of poor asthma

control are associated with both repeated exacerbations and asthma-related mortality. Likewise, adult-onset of asthma was associated with asthma-related mortality and longer time since disease debut was associated with both mortality and exacerbations. Finally, persons with non-atopic non-eosinophilic phenotype are at risk of all-cause mortality, while persons with very high blood eosinophils count are at particularly high risk of exacerbations and asthma-related mortality.

Abbreviations

AHR: Airway hyperresponsiveness; FEV $_1$: Forced expiratory volume in 1. second; FVC: Forced vital capacity; PC $_{20}$: The provocative concentration of histamine that results in a 20% drop in FEV $_1$; SABA: Short-acting β_2 -agonist; SMR: Standardised mortality rate; TRAIL: Treatable traits in asthma, the impact on long-term outcome cohort.

Supplementary Information

The online version contains supplementary material available at https://doi.org/10.1186/s12931-021-01864-z.

Additional file 1: Table S1. Comparison of baseline characteristics of the TRAIL cohort, stratified by annualised exacerbation rate. **Table S2.** Number of deaths by all causes in the TRAIL cohort and the Danish general population, stratified by age at time of death and year of death

Additional file 2: Table S3. Comparison of baseline characteristics of the TRAIL cohort, between those still alive and those who died of all causes. Table S4. Predictors of all-cause mortality, findings from bivariate and multivariable cox proportional hazards model shown as hazard ratio (95% CI).

Authors' contributions

ODT had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. ODT and CSU both contributed substantially to the study design, data analysis and interpretation, and the writing of the manuscript. All authors read and approved the finalmanuscript.

Funding

This study received an unrestricted grant from Sanofi Genzyme Denmark (Grant No.: N/A). A grant from A.P. Møller Lægefonden (Grant No.: 17-L-0178). Additionally, funding from the Innovation Fund (Grant No.: 153-2014-10) and Hvidovre Hospital Research fund (Grant No.: N/A). The sponsors had no role in the design of the study or the preparation of the manuscript.

Availability of data and materials

The data are available upon reasonable request, but analysis may require approval from the regional data safety committee for the capital region of Denmark (Videnscenter for dataanmeldelser).

Declarations

Ethics approval and consent to participate

The study was approved by the ethical committee for the Capital Region of Denmark (H-17025043), the regional data safety committee for the capital region of Denmark (P-2019-712) and The Danish Data Protection Agency (2013-41-2618). All participants signed an informed consent form.

Competing interests

Dr. Tupper reports personal fees from TEVA, outside the submitted work. Dr. Ulrik reports personal fees for lectures, advisory board meetings etc. from Sanofi, Novartis, AZ, GSK, Boehringer-Ingelheim, Chiesi, TEVA and ALK-Abello outside the submitted work.

^a Age \geq 18 years

^b Current or ex-smokers.

^{*}p-value < 0.05. **p-value < 0.001. Multivariable model: Wald Chi² = 190 Degrees of freedom = 13. p < 0.0001

Tupper and Ulrik *Respir Res* (2021) 22:269 Page 9 of 9

Author details

¹Respiratory Research Unit, Department of Respiratory Medicine, Hvidovre Hospital, Copenhagen University Hospital-Hvidovre, Kettegaard Alle 30, 2650 Hvidovre, Denmark. ²Institute of Clinical Medicine, University of Copenhagen, Copenhagen, Denmark.

Received: 30 September 2020 Accepted: 11 October 2021 Published online: 20 October 2021

References

- Global strategy for asthma management and prevention. Global Initiative for Asthma (GINA), 2020 [cited 2020 Jun 16]. https://ginasthma.org
- Masoli M, Fabian D, Holt S, Beasley R. The global burden of asthma: executive summary of the GINA Dissemination Committee Report. Allergy Eur J Allergy Clin Immunol. 2004;59(5):469–78.
- WHO. Global surveillance, prevention and control of chronic respiratory diseases: a comprehensive approach. http://www.who.int/gard/publi cations/GARDBook2007.pdf?ua=1
- Li X, Cao X, Guo M, Xie M, Liu L. Trends and risk factors of mortality and disability adjusted life years for chronic respiratory diseases from 1990 to 2017: systematic analysis for the Global Burden of Disease Study 2017. BMJ. 1990;2020:368.
- Alvarez GG, Schulzer M, Jung D, FitzGerald JM. A systematic review of risk factors associated with near-fatal and fatal asthma. Can Respir J. 2005;12(5):265–70.
- Levy ML. The national review of asthma deaths: what did we learn and what needs to change? Breathe. 2015;11(1):15–24.
- Chipps BE, Zeiger RS, Borish L, Wenzel SE, Yegin A, Hayden ML, et al. Key findings and clinical implications from the Epidemiology and Natural History of Asthma: outcomes and treatment regimens (TENOR) study. J Allergy Clin Immunol. 2012;130(2):332-342.e10.
- Zeiger RS, Schatz M, Dalal AA, Chen W, Sadikova E, Suruki RY, et al. Blood eosinophil count and outcomes in severe uncontrolled asthma: a prospective study. J Allergy Clin Immunol Pract. 2017;5(1):144–53.
- Yii ACA, Tan JHY, Lapperre TS, Chan AKW, Low SY, Ong TH, et al. Long-term future risk of severe exacerbations: distinct 5-year trajectories of problematic asthma. Allergy Eur J Allergy Clin Immunol. 2017;72(9):1398–405.
- Chen W, FitzGerald JM, Lynd LD, Sin DD, Sadatsafavi M. Long-term trajectories of mild asthma in adulthood and risk factors of progression. J Allergy Clin Immunol Pract. 2018;6(6):2024-2032.e5. https://doi.org/10. 1016/j.jaip.2018.04.027.
- Boudier A, Curjuric I, Basagaña X, Hazgui H, Anto JM, Bousquet J, et al. Ten-year follow-up of cluster-based asthma phenotypes in adults a pooled analysis of three cohorts. Am J Respir Crit Care Med. 2013;188(5):550–60.
- Okayama Y, Kawayama T, Kinoshita T, Tokunaga Y, Sasaki J, Sakazaki Y, et al. Impact of airflow obstruction on long-term mortality in patients with asthma in Japan. Allergol Int. 2019;68(4):462–9.
- Panizza JA, James AL, Ryan G, De Klerk N, Finucane KE. Mortality and airflow obstruction in asthma: a 17-year follow-up study. Intern Med J. 2006;36(12):773–80.
- 14. Becker EC, Wölke G, Heinrich J. Bronchial responsiveness, spirometry and mortality in a cohort of adults. J Asthma. 2013;50(4):427–32.
- Huang S, Vasquez MM, Halonen M, Martinez FD, Guerra S. Asthma, airflow limitation and mortality risk in the general population. Eur Respir J. 2015;45(2):338–46.
- Lemmetyinen RE, Karjalainen JV, But A, Renkonen RLO, Pekkanen JR, Toppila-Salmi SK, et al. Higher mortality of adults with asthma: A 15-year follow-up of a population-based cohort. Allergy Eur J Allergy Clin Immunol. 2018;73(7):1479–88.
- Ulrik CS, Frederiksen J. Mortality and markers of risk of asthma death among 1,075 outpatients with asthma. Chest. 1995;108(1):10–5.
- Ali Z, Dirks CG, Ulrik CS. Long-term mortality among adults with asthma: a 25-year follow-up of 1,075 outpatients with asthma. Chest. 2013;143(6):1649–55.
- Westerhof GA, Korevaar DA, Amelink M, De Nijs SB, De Groot JC, Wang J, et al. Biomarkers to identify sputum eosinophilia in different adult asthma phenotypes. Eur Respir J. 2015;46(3):688–96.

- Woolcock AJ, Peat JK. Epidemiology of bronchial hyperresponsiveness. Clin Rev Allergy. 1989;7(3):245–56.
- 21. Prentice RL, Williams BJ, Peterson AV. On the regression analysis of multivariate failure time data. Biometrika. 1981;68(2):373–9.
- Haselkorn T, Fish JE, Zeiger RS, Szefler SJ, Miller DP, Chipps BE, et al. Consistently very poorly controlled asthma, as defined by the impairment domain of the Expert Panel Report 3 guidelines, increases risk for future severe asthma exacerbations in The Epidemiology and Natural History of Asthma: Outcomes and Treatment Regime. J Allergy Clin Immunol. 2009;124(5):1.
- Bloom CI, Palmer T, Feary J, Quint JK, Cullinan P. Exacerbation patterns in adults with Asthma in England A population-based study. Am J Respir Crit Care Med. 2019;199(4):446–53.
- Hanania NA, King MJ, Braman SS, Saltoun C, Wise RA, Enright P, et al. Asthma in the elderly: Current understanding and future research needs

 a report of a National Institute on Aging (NIA) workshop. J Allergy Clin Immunol. 2011;128(3 SUPPL.):S4-24. https://doi.org/10.1016/j.jaci.2011.06. 048.
- Quadrelli S, Roncoroni A. Features of asthma in the elderly. J Asthma. 2001;38:377–89.
- Polosa R, Thomson NC. Smoking and asthma: dangerous liaisons. Eur Respir J. 2013;41:716–25.
- 27. Suissa S, Blais L, Ernst P. Patterns of increasing β-agonist use and the risk of fatal or near-fatal asthma. Eur Respir J. 1994;7(9):1602–9.
- Bateman ED, Buhl R, O'Byrne PM, Humbert M, Reddel HK, Sears MR, et al. Development and validation of a novel risk score for asthma exacerbations: the risk score for exacerbations. J Allergy Clin Immunol. 2015;135(6):1457-1464.e4.
- Nieves A, Magnan A, Boniface S, Proudhon H, Lanteaume A, Romanet S, et al. Phenotypes of asthma revisited upon the presence of atopy. Respir Med. 2005;99(3):347–54.
- Schleich FN, Chevremont A, Paulus V, Henket M, Manise M, Seidel L, et al. Importance of concomitant local and systemic eosinophilia in uncontrolled asthma. Eur Respir J. 2014;44(1):97–108.
- Zeiger RS, Schatz M, Li Q, Chen W, Khatry DB, Gossage D, et al. High blood eosinophil count is a risk factor for future asthma exacerbations in adult persistent asthma. J Allergy Clin Immunol Pract. 2014;2(6):741-750.e4. https://doi.org/10.1016/j.jaip.2014.06.005.
- 32. Levy BD, Noel PJ, Freemer MM, Cloutier MM, Georas SN, Jarjour NN, et al. Future research directions in asthma: an NHLBI working group report. Am J Respir Crit Care Med. 2015;192(11):1366–72.
- Ebmeier S, Thayabaran D, Braithwaite I, Bénamara C, Weatherall M, Beasley R. Trends in international asthma mortality: analysis of data from the WHO Mortality Database from 46 countries (1993–2012). Lancet. 2017;390(10098):935–45.
- 34. de Nijs SB, Venekamp LN, Bel EH. Adult-onset asthma: is it really different? Eur Respir Rev. 2013;22(127):44–52.
- 35. Sears MR. Consequences of long-term inflammation: the natural history of asthma. Clin Chest Med. 2000;21(2):315–29.
- Carr TF, Zeki AA, Kraft M. Eosinophilic and noneosinophilic asthma. Am J Respir Crit Care Med. 2018;197(1):22–37. https://doi.org/10.1164/rccm. 201611-2232PP.
- D'Amato G, Vitale C, Molino A, Stanziola A, Sanduzzi A, Vatrella A, et al. Asthma-related deaths. Multidiscip Respir Med. 2016;11(1):1–5.
- Hancox RJ, Pavord ID, Sears MR. Associations between blood eosinophils and decline in lung function among adults with and without asthma. Eur Respir J. 2018;51(4):1.
- 39. Card TR, Solaymani-Dodaran M, Hubbard R, Logon RFA, West J. Is an internal comparison better than using national data when estimating mortality in longitudinal studies? J Epidemiol Commun Health. 2006;60(9):819–21.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.