

# Efects of increasing tidal volume and end-expiratory lung volume on induced bronchoconstriction in healthy humans



Alessandro Gobbi<sup>1,2</sup>, Andrea Antonelli<sup>3</sup>, Raffaele Dellaca<sup>1\*</sup>, Giulia M. Pellegrino<sup>4</sup>, Riccardo Pellegrino<sup>5</sup>, Jeffrey J. Fredberg<sup>6</sup>, Julian Solway<sup>7</sup> and Vito Brusasco<sup>8</sup>

# **Abstract**

**Background** Increasing functional residual capacity (FRC) or tidal volume  $(V<sub>T</sub>)$  reduces airway resistance and attenuates the response to bronchoconstrictor stimuli in animals and humans. What is unknown is which one of the above mechanisms is more efective in modulating airway caliber and whether their combination yields additive or synergistic effects. To address this question, we investigated the effects of increased FRC and increased  $V<sub>T</sub>$  in attenuating the bronchoconstriction induced by inhaled methacholine (MCh) in healthy humans.

**Methods** Nineteen healthy volunteers were challenged with a single-dose of MCh and forced oscillation was used to measure inspiratory resistance at 5 and 19 Hz ( $R_5$  and  $R_{19}$ ), their difference ( $R_{5-19}$ ), and reactance at 5 Hz ( $X_5$ ) during spontaneous breathing and during imposed breathing patterns with increased FRC, or  $V<sub>T</sub>$ , or both. Importantly, in our experimental design we held the product of  $V<sub>T</sub>$  and breathing frequency (BF), *i.e*, minute ventilation ( $V<sub>F</sub>$ ) fixed so as to better isolate the effects of changes in  $V<sub>T</sub>$  alone.

**Results** Tripling V<sub>T</sub> from baseline FRC significantly attenuated the effects of MCh on R<sub>5</sub>, R<sub>19</sub>, R<sub>5-19</sub> and X<sub>5</sub>. Doubling V<sub>T</sub> while halving BF had insignificant effects. Increasing FRC by either one or two  $V<sub>T</sub>$  significantly attenuated the effects of MCh on R<sub>5</sub>, R<sub>19</sub>, R<sub>5-19</sub> and X<sub>5</sub>. Increasing both V<sub>T</sub> and FRC had additive effects on R<sub>5</sub>, R<sub>19</sub>, R<sub>5-19</sub> and X<sub>5</sub>, but the effect of increasing FRC was more consistent than increasing  $V<sub>T</sub>$  thus suggesting larger bronchodilation. When compared at iso-volume, there were no differences among breathing patterns with the exception of when  $V<sub>T</sub>$  was three times larger than during spontaneous breathing.

**Conclusions** These data show that increasing FRC and  $V<sub>T</sub>$  can attenuate induced bronchoconstriction in healthy humans by additive efects that are mainly related to an increase of mean operational lung volume. We suggest that static stretching as with increasing FRC is more effective than tidal stretching at constant  $V_F$ , possibly through a combination of efects on airway geometry and airway smooth muscle dynamics.

**Keywords** Lung volume, Tidal breathing, Airway caliber, Oscillometry, Methacholine

\*Correspondence: Rafaele Dellaca rafaele.dellaca@polimi.it Full list of author information is available at the end of the article



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# **Introduction**

Studies in animals and humans have brought clear evidence that increasing the operating lung volume, i.e., the end-expiratory lung volume above normal functional residual capacity (FRC) or the tidal volume  $(V_T)$ , reduces airway resistance  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$  and can attenuate  $[3]$  $[3]$  or reverse [[4\]](#page-7-3) the response to bronchoconstrictor stimuli. These effects of breathing at increased lung volume can be explained by either static or dynamic mechanisms. Since airways and lung parenchyma are interdependent, a static increase of lung volume is associated with an increase of airway caliber by the action of tethering forces opposing both the passive elastic recoil of the airway wall and the active contractile forces of airway smooth muscle. On the other hand, studies invitro have shown that dynamic swings can blunt the response of airway smooth muscle to contractile stimuli by mechanisms that reduce its force generation capacity  $[5, 6]$  $[5, 6]$  $[5, 6]$  $[5, 6]$ , though in bronchial segments this effect was observed only when pressure oscillations were raised to twice of those corresponding to normal  $V_T$  [\[7](#page-7-6)]. In vivo, increasing  $V_T$  [[4](#page-7-3)], or breathing frequency (BF), or both [[8](#page-7-7)] have a bronchodilator effect.

Therefore, it can be expected that increasing FRC or  $\rm V_{T}$ or their combinations, have benefcial efects in counteracting bronchoconstriction in vivo. However, in porcine bronchial segments, static hyper-distension reduced the maximal response to acetylcholine but blunted the relaxant efect of superimposed pressure oscillations of amplitude corresponding to twice the baseline  $V_T$  [\[9](#page-7-8)], raising the possibility that lung hyperinfation may compete with the bronchodilator effects of increasing  $V_T$  in vivo. In humans, the relative efficacy of physiologically relevant static hyperinfation and increased dynamic swings in countering airway narrowing has not been studied, but it can be hypothesized that they difer, owing to diferent underlying mechanisms.

To test this hypothesis, we designed the present study to evaluate whether the bronchodilator efect of breathing at increased lung volumes difers depending on whether attained by increasing FRC or  $V_T$ . Moreover, we investigated whether the bronchodilator efects of increasing FRC and  $V_T$  were additive.

# **Methods**

#### **Subjects**

Nineteen healthy volunteers (13 males/6 females) with no history respiratory/cardiovascular diseases participated in the study. No one was obese. Main anthropometric and respiratory functional data are reported in Table [1.](#page-1-0) Data were collected at Santa Croce and Carle Hospital (Cuneo, Italy), the protocol was approved by the

<span id="page-1-0"></span>



*BMI* Body mass index, *FEV*<sub>1</sub> Forced expiratory volume in 1 s, *VC* slow inspiratory vital capacity,  $R_5$  Respiratory resistance at 5 Hz,  $R_{19}$  Respiratory resistance at 19 Hz,  $R_{5-19}$  difference in respiratory resistance between 5 and 19 Hz;  $X_5$  respiratory reactance at 5 Hz. Data are mean ± SD

local Ethical Committee, and each subject gave a written informed consent before participation.

# **Measurements**

Spirometry was measured by a mass fowmeter (Sensor-Medics Inc., CA, USA) following the ATS/ERS recommendations [[10\]](#page-7-9). Respiratory impedance was measured by a forced oscillation technique (FOT) as previously described [[11,](#page-7-10) [12](#page-7-11)]. Briefy, sinusoidal pressure oscillations (5 and 19 Hz;  $\sim$  2 cmH<sub>2</sub>O peak-to-peak) were generated by a 16-cm diameter loudspeaker (model CW161N, Ciare, Italy) mounted in a rigid plastic box and connected in parallel to a mesh pneumotachograph and mouthpiece on one side and to a low-resistance high-inertance tube on the other side. Pressure oscillations were applied at the mouth during tidal breathing, while subjects had their cheeks supported by the hands of an investigator to minimize upper airway shunting. The overall load over the tidal breathing frequency range was 0.98 cm  $H_2O \cdot L^{-1} \cdot s$ . Airway opening pressure and flow were recorded by piezoresistive transducers (DCXL10DS and DCXL01DS Sensortechnics, Germany, respectively) and sampled at 200 Hz. A 15-L/min bias flow of air generated by an air pump (CMP08, 3A Health Care, Italy) was used to reduce dead space to about 35 ml. Pressure and flow signals were processed by a least-square algorithm [[13,](#page-7-12) [14\]](#page-7-13) to calculate respiratory resistance at 5 and 19 Hz ( $R_5$  and  $R_{19}$ , respectively) and reactance at 5 Hz  $(X_5)$ . Artifacts due to glottis closure or expiratory airflow limitation were avoided by discarding breaths showing any of the following features: i) tidal volume <0.1 L or >2.0 L, ii) difference between measured fow oscillation and ideal sine wave with the same Fourier coefficients  $>0.2$  [[15](#page-7-14)], and iii) ratio of minimum to average  $X > 3.5$  [\[11\]](#page-7-10). The same breaths were used

to measure  $V_T$ , breathing frequency (BF), inspiratory and total time of each breath ( $T_{\rm I}$  and  $T_{\rm Tot}$ , respectively), and estimate inspiratory drive  $(V_T/T_I)$ , inspiratory duty cycle  $(\mathrm{T_{I}}/\mathrm{T_{Tot}})$ , and minute ventilation ( $\mathrm{V_{E}}$ ).

# **Protocol**

# *Pre‑study day*

Subjects attended the laboratory for spirometry and determination of the dose of methacholine (MCh) to be used for the study day. For this purpose, after baseline FOT measurements, MCh chloride dry-powder (Laboratorio Farmaceutico Lofarma, Milan, Italy) was dissolved in distilled water and administered by an ampouledosimeter system (MB3 MEFAR, Brescia, Italy) delivering aerosol particles with a median mass diameter of 1.53-1.61μm, while subjects breathed quietly in a sitting position. The starting dose was of 300  $\mu$ g followed by doubling doses until  $R_5$  increased by at least 100% from baseline.

# *Study day*

Baseline FOT measurements were taken during 2 min of spontaneous tidal breathing. Then, the subjects were trained to breathe, by using visual feed-back of

spirometry tracing, for 2 min with imposed combinations of FRC or  $V_T$ . Thereafter, each subject inhaled a single dose of MCh equal to the last dose given on the pre-study day and  $R_5$  was measured 2 min later during spontaneous tidal breathing to confrm the persistence of bronchoconstriction. Then, FOT measurements were taken while subjects maintained for 2 min each of the following imposed breathing patterns in randomized order (Fig. [1\)](#page-2-0): A) spontaneous  $V_T$  from spontaneous FRC, B) near double  $V_T$  from spontaneous FRC, C) near triple  $V_T$  from spontaneous FRC, D) spontaneous  $V_T$  from FRC increased by 1  $V_T$ , E) near double  $V_T$ from FRC increased by 1  $V_T$ , and F) spontaneous  $V_T$ from FRC increased by 2  $V_T$ . For each  $V_T$  increase the subjects were asked to adjust BF to prevent large increments of  $V_F$ . Before each change of breathing pattern,  $R<sub>5</sub>$  was measured during spontaneous tidal breathing to check for the stability of bronchoconstriction. If  $R_5$ was 10% or more lower than initial post-MCh value an additional half dose of MCh was given to restore bronchoconstriction. This happened occasionally in 6 subjects, with no relation to any specifc breathing pattern. At the end of the study, aerosol albuterol was administered to relieve symptoms if any.



<span id="page-2-0"></span>Fig. 1 Patterns of breathing before after methacholine (MCh) with tidal volume  $(V_T)$  initiated from spontaneous or increased functional residual capacity (FRC). For each condition, respiratory impedance measures were calculated over the 3 mid-quintiles of the whole inspiratory phase (upper panel) or over the 3 mid-quintiles of iso-volume inspiratory portions (lower panel) as shown by the thick lines

# **Data analysis**

For each breathing pattern,  $R_5$ ,  $R_{19}$ ,  $R_{5-19}$ , and  $X_5$  were calculated over the 3 mid-quintiles of the whole inspiratory phase (Fig. [1,](#page-2-0) upper panel) or over the 3 mid-quintiles of iso-volume inspiratory portions (Fig. [1,](#page-2-0) lower panel).

Differences in R<sub>5</sub>, R<sub>19</sub>, R<sub>5-19</sub>, X<sub>5</sub>, V<sub>T</sub>, BF, V<sub>T</sub>/T<sub>I</sub>, T<sub>I</sub>/T<sub>Tot</sub>, and  $V<sub>E</sub>$  between conditions were tested for statistical signifcance by a one-way repeated-measure analysis of variance (ANOVA) with Holm-Sidak post-hoc test for multiple-comparisons. Values of  $p<0.05$  were considered statistically signifcant. Data are presented as mean ± standard deviation (SD).

# **Results**

### **Breathing patterns during the experimental conditions**

The spontaneous breathing pattern after MCh (A) did not difer signifcantly from the spontaneous pattern before methacholine (Table [2\)](#page-3-0).  $V_T$  and BF changed with the imposed *patterns (B-F)* as per protocol. Even though great attention was paid to maintain  $V_E$  as constant as possible among the imposed breathing patterns, it was with *patterns C, E, and F* that  $V_E$  slightly but signifcantly increased than with patterns than A and B. These differences were associated with significant differences in mean inspiratory,  $\rm V_T/T_I$ . Neither  $\rm V_E$ nor  $\rm V_T/T_I$  were significantly different among breathing *patters C, D, E, and F.* There were no significant differences in  $\mathrm{T_{I}/T_{TOT}}$  among all breathing patterns.

# **Mid‑inspiration measures**

In general, breathing at increased FRC, increased  $V_T$ , or both attenuated the changes induced by MCh inhalation on  $R_5$ ,  $R_{19}$ ,  $R_{5-19}$ , and  $X_5$  (Fig. [2](#page-4-0) and Supplemental Table 1).

Increasing  $V_T$  from spontaneous FRC was associated with significant reductions of  $R_5$ ,  $R_{19}$ ,  $R_{5-19}$  and less negative  $X_5$  when  $V_T$  was tripled (*pattern C*) but not doubled (*pattern B*) compared to spontaneous breathing (*pattern A*)  $V_T$ . Yet, the attenuating effects of *pattern C* were significantly greater than those of *pattern B*.

Increasing FRC by either one (*pattern D*) or two (*pattern F*)  $V_T$  with constant spontaneous  $V_T$  was associated with significant reductions of  $R_5$  and  $R_{19}$  than *pattern A*, while  $R_{5-19}$  was significantly reduced and  $X_5$  less negative with *pattern F* but not *pattern D*.

Increasing both  $V_T$  and FRC (*pattern E*) was associated with significantly lower  $R_5$ ,  $R_{19}$ ,  $R_{5-19}$  and less negative  $X_5$  than increasing  $V_T$  alone (*pattern B*) and significantly lower R<sub>19</sub> than increasing FRC alone (*pattern D*).

Breathing patterns with the same peak volume, no matter whether achieved by increasing  $V_T$  or FRC or both (*patterns B* vs. *D and C* vs. *E* and vs. *F*) showed insignifcantly diferent efects on airway narrowing.

Notably,  $R_5$  (cmH<sub>2</sub>O•L<sup>-1</sup>•s) was reduced by 0.57±1.18 when  $V_T$  was doubled (*pattern B* vs *pattern A*), by 1.19 $\pm$ 0.70 when FRC was increased by 1  $V_T$  (*pattern D* vs *pattern A*), and by 1.84±0.88 when both  $V_T$  and FRC were increased (*pattern E* vs *pattern A*). Similarly,  $R_{19}$  (cmH<sub>2</sub>O•L<sup>-1</sup>•s) was reduced by 0.29±0.35 when V<sub>T</sub> was doubled (*pattern B* vs *pattern A)*, by 0.48±0.46 when FRC was increased by 1  $V_T$  (*pattern D* vs *pattern A*), and by 0.91 $\pm$ 0.42 when both  $V_T$  and FRC were increased (*pattern E* vs *pattern A*). These results suggest simply additive effects, but the increase of FRC was more potent to mitigate airway narrowing than the increase in  $V_T$ .



 $V_\tau$ tidal volume, *BF* Breathing frequency,  $V_{E'}$  minute ventilation,  $V_\tau/ T_i$  mean inspiratory flow,  $T/T_{tot}$  respiratory duty cycle; FRC, functional residual capacity. Data are  $mean \pm SD$ 

<span id="page-3-0"></span>**Table 2** Patterns of breathing during experimental conditions



<span id="page-4-0"></span>**Fig. 2** Efects of increasing tidal volume from spontaneous functional residual capacity (patterns **A**, **B**, **C**) (**A**), increasing functional residual capacity with spontaneous (patterns **A**, **D**, **F**) (**B**), or both (patterns **B**, **E**) (**C**) on mid-inspiration impedance measures. Efects of patterns achieving the same peak volume (**C** vs. **E** and vs. **F**) on mean-inspiratory impedance measurements (D). V<sub>T</sub>, tidal volume; FRC, functional residual capacity. R<sub>5</sub>, respiratory resistance at 5 Hz, R<sub>19</sub>, respiratory resistance at 19 Hz; R<sub>5-19</sub>, difference in respiratory resistance between 5 and 19 Hz; X<sub>5</sub>, respiratory reactance at 5 Hz. Columns heights indicate means and error bars standard deviations. \*, *p*<0.005; \*\*, *p*<0.01; *p*<0.001



<span id="page-4-1"></span>**Fig. 3** Efects of increasing tidal volume from spontaneous (patterns **A**, **B**, **C**) or increased (patterns **D**, **E**, **F**) functional residual capacity on iso-volume inspiratory impedance measures. Other abbreviations as in Fig. [2](#page-4-0). Columns heights indicate means and error bars standard deviations. \*, *p*<0.005; \*\*, *p*<0.01

# **Iso‑volume measures**

In general,  $R_5$ ,  $R_{19}$ , and  $R_{5-19}$  were inversely related to the lung volume at which they were measured (Fig. [3](#page-4-1) and Supplemental Table 2), while the  $X_5$  values were inconsistently related to lung volumes.

At low iso-volume,  $R_5$  and  $R_{19}$ , were significantly lower and  $X_5$  was less negative than during spontaneous breathing (*pattern A*) when  $V_T$  was tripled (*pattern C*) but not doubled (*pattern B*). Yet, the attenuating effects of *pattern* C on  $R_5$  and  $X_5$  were significantly greater than those of *pattern B*.

At mid iso-volume,  $R_5$ ,  $R_{19}$ , and  $R_{5-19}$  did not differ significantly with increments of  $V_T$  (*patterns B and C*), or FRC (*pattern D*), or both (*pattern E*). However,  $X_5$ was significantly less negative when both FRC and  $V_T$  were increased (*pattern E*) than when  $V_T$  (*pattern B*) or FRC (*pattern D*) were increased alone.

At high iso-volume, there were no signifcant diferences with increments of  $V_T$  (*pattern C*), or FRC (*panel F*), or both (*panel E*).

# **Discussion**

The main findings of the present study in healthy volunteers were that 1) the changes of respiratory impedance induced by inhaled MCh were signifcantly attenuated by increasing FRC, or  $V_T$ , or both, 2) increasing FRC had more consistent effects than increasing  $V_T$ , 3) the effects of increasing FRC and  $V_T$  were additive, and ) volume-independent efects attributable to tidal stretching were observed only when  $V_T$  was three times larger than during spontaneous breathing.

### **Comments on methodology**

We used oscillometry because it is the only available method enabling intra-breath measurements of respiratory mechanics over specifc portions of lung volume during tidal breathing, but it has two major limitations. First, oscillometry does not directly measure airway resistance but also lung tissue and chest wall resistances. Airway resistance is inversely related to  $V_T$ whereas lung tissue resistance is inversely related to BF  $[2]$  $[2]$  $[2]$ . Therefore, it is possible that the effects of increasing  $V_T$  on airway caliber were counteracted by the effects of decreasing BF on tissue resistance. We think this had no major efect on our results because the attenuation of  $R_5$ , which reflects in large part tissue resistance, was not less than the attenuation of  $R_{19}$ , which mainly reflect airway resistance. Second, breathing at increased lung volumes requires activation of inspiratory muscles, which increases chest wall elastance  $[16]$  $[16]$ . Therefore, we cannot exclude that changes in  $X_5$  with different breathing patterns were counteracted by changes in chest wall stifness.

Although our subjects were asked to maintain  $V_E$  as constant as possible by decreasing BF when  $V_T$  was increased, there was a tendency for  $V<sub>E</sub>$  to increase (Table [2](#page-3-0)), thus likely resulting in an increased alveolar ventilation and airway hypocapnia, mainly when achieved by increasing  $V_T$ . Hypocapnia has a bronchoconstrictor efect [[17\]](#page-7-16), thus possibly counteracting the bronchodilator efects of imposed breathing patterns. We did not measure end-tidal  $CO<sub>2</sub>$ , but we believe this had no major impact on our results for two reasons. First, assuming normal anatomical plus instrumental dead space and  $CO<sub>2</sub>$  production, we estimated a mean difference in alveolar  $PCO<sub>2</sub>$  between patterns C and A to be approximately 7 mmHg, which was reported to have insignifcant efects on the respiratory impedance of healthy subjects [\[18](#page-7-17)]. Second, the differences in  $V<sub>E</sub>$  between any imposed patters were insignificant and differences in alveolar  $PCO<sub>2</sub>$ presumably minimal.

Finally, for changes in  $V_T$  were associated with changes in BF and the ratio  $T_I/T_{TOT}$  remained constant, the efects of tissue viscoelasticity could not be evaluated. Nevertheless, breathing patterns with low BF would have increased the time for airway smooth muscle relaxation during the inspiratory phase but also for re-shortening during the expiratory phase.

### **Interpretation of results**

The present study was designed on the premises that both lung hyperinfation and increased breathing depth are mechanisms protecting against airway narrowing, but their relative efficacies are unknown.

That increasing lung volume is associated with a proportional increase of airway conductance, i.e., the reciprocal of airway resistance, was first reported in 1958 by Briscoe and Dubois [[1](#page-7-0)] and subsequently confirmed in excised animal  $[19]$  $[19]$  and human  $[20]$  $[20]$  $[20]$  lungs with relaxed airways. This effect was simply attributed to a geometric change of airways being distended by the static radial traction of the surrounding lung parenchyma. Studies in contracted airway smooth muscle strips have consistently shown that sustained step-changes of length can rapidly attenuate active tension, possibly due to disassembly of the contractile apparatus, followed by a gradual recovery due to length adaptation [\[20,](#page-7-19) [21](#page-7-20)]. By contrast, in whole bronchial segments a sustained inflationary increase of transmural pressure also caused an immediate reduction in tension, but this was followed by a continuous gradual decrease [[22\]](#page-7-21). Airway wall stiffening was proposed to explain the difference between intact bronchi and muscle strips [[22,](#page-7-21) [23\]](#page-8-0). In our study,  $R_5$  was stable or decreased between the different breathing patterns, but never increased, which makes the occurrence of length adaptation unlikely. Thus, it is possible that the attenuations of airway narrowing we observed after 2 min of breathing at increased FRC reflected not only geometric changes in airway caliber but also mechanisms opposing both the passive elastic recoil of the airway wall and the active contractile forces of airway smooth muscle.

The inhibitory effect of cycling stretching on airway smooth muscle active force generation has been reported consistently in both isolated muscle strips [[5](#page-7-4), [6\]](#page-7-5) and isolated bronchial segments [[7](#page-7-6)]. It is wellestablished in animals [\[7](#page-7-6)] and humans [\[4](#page-7-3), [24](#page-8-1)] that the magnitude of the bronchodilator efects of tidal breathing increases with increasing frequency of breathing and with increasing tidal volume. Two independent lines of evidence suggest, further, that the attenuation of smooth muscle contractile force is attributable to changes of  $V_{F}$ , which is the product  $V_T$  x BF, independently of changes of either  $V_T$  or BF taken individually [[24](#page-8-1), [25\]](#page-8-2). Equivalently, neither the amplitude of tissue cyclic strain nor the cyclic frequency is as important as their product, namely, the amplitude of the tissue strain rate. To assess this phenomenon still further, in this report we used an experimental design in which we held the product  $V_T$  x BF fixed so as to better isolate the effects of changes in  $V_T$  alone. This is an important issue in our study, as we see that when  $V_E$  could not be kept constant (*pattern C vs A*) the impedance values at low iso-volume were signifcantly attenuated presumably because of the higher mean inspiratory flow  $(V_T)$ 

 $T_{\rm I}$  ) causing a faster lung stretching rate rather than the increase in  $V_T$  itself.

Three theories can be invoked to explain the above fndings [[26\]](#page-8-3), namely, that stretching of airway smooth muscle causes a plastic rearrangement of the contractile apparatus  $[6, 27, 28]$  $[6, 27, 28]$  $[6, 27, 28]$  $[6, 27, 28]$  $[6, 27, 28]$  $[6, 27, 28]$ , or modifies the crossbridge cycling rate and latch bridges formation [[5\]](#page-7-4) or causes temporary detachment of attached cross bridges [\[29\]](#page-8-6).

In an attempt to examine the relative bronchodilator efects of static hyperinfation and dynamic stretching, we measured inspiratory impedance in healthy subjects with MCh-induced bronchoconstriction breathing with different combinations of FRC and  $V_T$ . As expected, increasing either  $V_T$  or FRC significantly attenuated the changes induced by MCh on  $R_5$  and  $R_{19}$ ,  $R_{5-19}$ , suggestive of a generalized increase of airway caliber, but also decreased  $R_{5-19}$  and made  $X_5$  less negative. To the extent that an increase in  $R_{5-19}$  and a decrease in  $X_5$ refect heterogeneous distribution of time constants within the lung periphery  $[30]$  $[30]$  $[30]$ , the significant improvement of these variables with the increase in FRC and  $V_T$  (Figs. [2](#page-4-0) and [3](#page-4-1)) suggests that increasing lung volumes no matter how it was achieved made ventilation more homogeneous. While the effects of increasing  $V<sub>T</sub>$  on  $R<sub>5</sub>$ and  $R_{19}$  were significant only when it was threefold the spontaneous  $V_T$ , the effects of increasing FRC where already significant when it was increased by one  $V_T$ , suggesting a more consistent efect of increasing static than dynamic tidal stretching.

The effects of increasing both  $V_T$  and FRC were additive, *i.e.*, the effect of dynamic stretching was not blunted by an increased static stretch. This finding is in apparent contradiction with a study showing that in isolated bronchial segments hyperinfation blunted the efect of pressure oscillations corresponding to twice a normal  $V_T$ [[9\]](#page-7-8) In that study, bronchi were hyperinfated at a transmural pressure of 20  $\text{cm}H_2O$ , where airway compliance is reduced [[7\]](#page-7-6) and so are the amplitude of volume oscillation and airway smooth muscle strain. Examining our data in the light of a previous study  $[31]$  $[31]$ , (Fig. [3](#page-4-1)), we estimate that the largest end-tidal inspiratory volumes achieved as with *patterns C, E and F* would have not exceed the values associated with transpulmonary pressures in excess of 20 cm  $H_2O$ . Since bronchial transmural pressure might difer from transpulmonary pressure in the presence of bronchoconstriction [\[32\]](#page-8-9), we cannot exclude that stress on airway walls increased with the increase of end-inspiratory volume. Therefore, the increments of  $V_T$  in our study were likely to reflect increments of airway smooth muscle strain but not stress. The latter, however, does not seem to be the major determinant of the decrease in airway smooth muscle contractility with breathing maneuvers [\[33](#page-8-10), [34](#page-8-11)].

The fact that the effects of FRC and  $V_T$  were simply additive does suggest that lung hyperinfation and tidal swings operated via a similar mechanism, *viz.* increase of operational lung volume. This interpretation is supported by the lack of diferences at iso-volumes among most breathing patterns. The only exceptions were the lower  $R_5$ ,  $R_{19}$ ,  $R_{5-19}$ , and less negative  $X_5$  at low lung volume after triple  $V_T$  and the less negative  $X_5$  at mid lung volume with breathing patterns with the highest endinspiratory lung volume, *i.e.*, tripling  $V_T$  (*pattern C*) and doubling  $V_T$  from increased FRC (*pattern E*). These findings are consistent with a study in airway segments showing modest dilator efects with peak-to-peak pressure oscillations of 10 but not 5 cmH<sub>2</sub>O [[7](#page-7-6)]. As FOT measurement were taken during the inspiratory phase, these fndings possibly refect volume-independent dynamic efects on airway smooth muscle persisting after the expiratory phase, even when BF and, in turn, expiratory time for renarrowing was the largest (*pattern C*).

Why was hyperinfation more potent than tidal swings against airway narrowing in the present study is a matter of speculation. Increasing either FRC or  $V<sub>T</sub>$  results in increased mean operational lung volume, which is associates with an increase of airway caliber owing to the tethering force of lung parenchyma opposing the passive elastic recoil of airway walls. However, the mechanisms of static and dynamic stretching on airway smooth muscle active force may be diferent. One possibility is that in our study the sustained increments of operational lung volume maintained the airway smooth muscle in a condition of reduced force generation capacity by disassembling the contractile apparatus before the occurrence of length adaptation [[20](#page-7-19), [21\]](#page-7-20) or substantial reduction of tethering force due to stress relation of lung parenchyma [[35\]](#page-8-12). By contrast, additional time-dependent effects of tidal stretching, *e.g.*, on cross-bridge cycling rate, were possibly obscured by the re-constriction during expiratory phase unless started from very high end-inspiratory volume. Another possible mechanism explaining the larger bronchodilator efects yielded by the increase in FRC rather than  $V_T$  could be the larger amount of nitric oxide penetrating the airway lumen when narrowing is relieved by distending lung parenchyma [[36\]](#page-8-13).

The results of the present study in healthy subjects cannot be directly extrapolated to asthma because the mechanisms regulating airway smooth muscle contractility and heterogeneity of ventilation may difer in disease. Yet, it is known that FRC increases in asthma with the occurrence of expiratory fow limitation [\[37](#page-8-14)] and decreases after bronchodilator treatments [\[38](#page-8-15)]. Moreover, some benefcial efects of continuous positive airway pressure against airway responsiveness have been reported. To what extent hyperinfation can alleviate asthma symptoms remains to

be elucidated, considering that above a given threshold it may cause an increase of inspiratory work of breathing [[39\]](#page-8-16) and limit the increase in  $V_T$  [\[21\]](#page-7-20).

In conclusion, this study provides evidence that both lung hyperinfation and increased tidal stretching yield substantial bronchodilatation in human lungs exposed to a constrictor agent, though the former seems more efective than the latter presumably because of additive efects on airway smooth muscle contractile force and non-contractile airway tissues.

# **Supplementary Information**

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1186/s12931-024-02909-9) [org/10.1186/s12931-024-02909-9](https://doi.org/10.1186/s12931-024-02909-9).

Supplementary Material 1.

#### **Authors' contributions**

A.G., R.P., J.J.F., J.S. and V.B. wrote the main manuscript text, A.G., R.P. and V.B. conducted statistical analyses and prepared fgures and tables. A.A., R.P. and G.P. conducted experimental studies. All authors reviewed the manuscript.

#### **Funding**

No funding sources were used for the conduction of this study.

#### **Availability of data and materials**

The data that support the fndings of this study are available from the authors and are available upon request.

#### **Declarations**

#### **Ethics approval and consent to participate**

The study has been approved by the S. Croce and Carle Hospital Ethics Committee, approval no. 40/13 of 19<sup>th</sup> April 2013. The study was conducted in accordance with the Declaration of Helsinki.

#### **Consent for publication**

Not applicable.

#### **Competing interests**

A.G. and R.D. are co-founders and serve as board members of RESTECH Srl, a company that designs, manufactures and sells devices for lung function testing based on Forced Oscillation Technique (FOT). R.D. also reports grants and other from RESTECH, personal fees from Philips Healthcare, outside the submitted work; In addition, R.D. has a patent on the detection of EFL by FOT with royalties paid to Philips Respironics and RESTECH Srl, a patent on monitoring lung volume recruitment by FOT with royalties paid to Vyaire, and a patent on early detection of exacerbations by home monitoring of FOT with royalties paid to RESTECH Srl. A.A., R.P., G.M. P., J.J.F., J.S, and VB have no confict of interest related to the content of this manuscript.

#### **Author details**

<sup>1</sup> Dipartimento di Elettronica, Informazione e Bioingegneria, Politecnico di Milano, Milano 20133, Italy. <sup>2</sup> Restech Srl, Milano 20124, Italy. <sup>3</sup> Allergologia e Fisiopatologia Respiratoria, ASO S. Croce e Carle, 12100 Cuneo, Italy. <sup>4</sup>Casa di Cura del Policlinico, Dipartimento di Scienze Neuroriabilitative, Milano, Italy. <sup>5</sup> Centro Medico Pneumologico Torino, 10129 Torino, Italy. <sup>6</sup> Harvard T. H. Chan School of Public Health, Harvard University, Boston, MA 02115, USA. <sup>7</sup> Department of Medicine, University of Chicago, Chicago, IL, USA. <sup>8</sup>Dipartimento di Medicina Sperimentale, Università di Genova, 16132 Genova, Italy.

#### Received: 23 April 2024 Accepted: 7 July 2024 Published online: 07 August 2024

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