CORRESPONDENCE



Insomnia in chronic obstructive pulmonary disease and associations with healthcare utilization and costs



Faith S. Luyster^{1,2*}, Monique Y. Boudreaux-Kelly² and Jessica M. Bon^{2,3}

Abstract

Insomnia has been linked to adverse chronic obstructive pulmonary disease (COPD) outcomes including exacerbations, vet its impact on COPD-related healthcare utilization and costs is unknown. In this study, we investigated the associations between insomnia and healthcare utilization and costs in patients with COPD. A retrospective cohort of veterans with COPD were identified from national Veterans Affairs administration data for fiscal years 2012–2017. Insomnia was operationalized as having an insomnia diagnosis based on International Classification of Disease codes or having a prescription of > 30 doses of a sedative-hypnotic medication in a given fiscal year. The index date for insomnia was the first date when dual criteria for COPD and insomnia was met. The index date for those without insomnia was set as the COPD index date. Our primary outcomes were 1-year healthcare utilization and costs related to outpatient visits and hospitalizations after index date. COPD-related healthcare utilization variables included number of prescription fills of corticosteroids and/or antibiotics and outpatient visits and hospitalizations with a primary diagnosis of COPD. Out of 1,011,646 patients (96% men, mean age 68.4 years) diagnosed with COPD, 407,363 (38.8%) had insomnia. After adjustment for confounders, insomnia was associated with higher rates of outpatient visits, hospitalizations, and fills for corticosteroids and/or antibiotics, longer hospital length of stay, and \$10,344 higher hospitalization costs in the 12 months after index date. These findings highlight the importance of insomnia as a potentially modifiable target for reducing the burden of COPD on patients and healthcare systems.

Keywords Sleep initiation and maintenance Disorders, Pulmonary Disease, Chronic Obstructive

*Correspondence: Faith S. Luyster fsl3@pitt.edu

School of Nursing, University of Pittsburgh, 3500 Victoria St, 415 Victoria Building, Pittsburgh, PA 15241, USA

²VA Pittsburgh Healthcare System, Pittsburgh, PA, USA

³Division of Pulmonary, Allergy, and Critical Care Medicine, University of Pittsburgh, School of Medicine, Pittsburgh, PA, USA

Introduction

Chronic obstructive pulmonary disease (COPD) is a highly prevalent condition, with 6.2% of adults reporting being diagnosed with COPD in 2017, and its burden is anticipated to increase as the population continues to age [1, 2]. Acute exacerbations of COPD lead to deterioration in lung function and quality of life, increased risk for mortality, and frequently require emergency department (ED) visits and hospitalization, therefore contributing to excess healthcare use [3–5]. Exacerbations along with complex care needed to address multiple comorbidities commonly present among patients with COPD imparts a

© This is a U.S. Government work and not under copyright protection in the US; foreign copyright protection may apply 2023. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article, unless otherwise stated in a credit line to the data. great economic burden to healthcare systems [6]. Identifying modifiable risk factors is critical for the prevention of COPD exacerbations and the consequent reduction in healthcare utilization and costs.

Insomnia is a common complaint among patients with COPD [7-10]. Sleep difficulties in COPD may plausibly arise from smoking, psychiatric and medical comorbidities including depression, anxiety, obstructive sleep apnea, restless legs syndrome, and pain, supplemental oxygen use and medications for the treatment of COPD, and nocturnal awakenings due to nighttime respiratory symptoms such as cough and dyspnea [11]. Irrespective of etiology, insomnia has been linked to adverse outcomes in COPD including reductions in quality of life and daytime function, COPD-related symptoms and incident exacerbations, and increased risk for mortality [12–15].

Untreated insomnia is associated with substantial healthcare utilization and costs, particularly among older adults and those with comorbidities [16–22]. Relative to individuals without insomnia, rates of inpatient, ED, and outpatient care and healthcare costs, primarily driven by inpatient costs, are significantly higher among individuals with insomnia [18, 20]. Even after controlling for comorbidities, individuals with insomnia continue to demonstrate greater healthcare utilization and costs [16, 20]. When coupled with comorbidities, healthcare costs are as much as 80% higher in the 12 months after insomnia diagnosis [16]. To our knowledge, no prior studies have examined the impact of insomnia on healthcare utilization and costs in patients with COPD.

This study investigated the associations between insomnia and COPD-related healthcare utilization and costs utilizing a large cohort of patients with COPD receiving care within the national Veterans Health Administration (VHA). We hypothesized that patients with COPD and insomnia would have greater rates of COPD-related healthcare utilization including outpatient visits, hospitalizations, and number of prescription fills of corticosteroids and/or antibiotics and higher COPDspecific outpatient visit and hospitalization costs compared to those with COPD only.

Methods

Data source and study cohort

This a retrospective cohort of veterans with COPD who utilized health services through the VHA system between fiscal years (FY) 2012 and 2017. The Veteran Affairs (VA) Corporate Data Warehouse was utilized to access nationwide electronic medical record data on demographics, diagnoses, prescription fills, and encounters. Patients were classified as having COPD if they had (1) at least two outpatient encounters or (2) at least one hospitalization with a primary diagnosis of COPD based on International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes or Tenth Revision, Clinical Modification (ICD-10-CM) codes [23, 24]. This approach has been found to reduce misclassification and has been utilized in previous studies [25]. The date of the first hospitalization for COPD or the date when 2 outpatient encounters occurred was set as the COPD index date. The VA Pittsburgh Healthcare System Institutional Review Board approved this study (IRB# PRO00002714).

Exposure of interest

From this population of patients with COPD, we identified two populations: those with insomnia and those without insomnia. Patients were classified as having insomnia if they met the following criteria: (1) insomnia diagnosis on at least one occasion between FY2012 and FY2017 based on ICD-9-CM and ICD-10-CM codes utilized in a prior study of veterans [26] or (2) had a prescription fill for >30 doses in a given fiscal year of at least one of the following sedative-hypnotic medications: zolpidem, zaleplon, eszopiclone, temazepam, triazolam, ramelteon, trazodone, amitriptyline and doxepin (including only doses<100 mg per day of the last three medications). The insomnia medications were selected based on clinical practice guidelines [27], on- and off-label use of medications for insomnia treatment, and everyday clinical practice. We chose to identify insomnia by either having a diagnosis or prescription fills for sedative-hypnotic medications as recent studies suggest that insomnia is underdiagnosed in VA electronic health records and that sedative-hypnotics are commonly prescribed in the absence of a formal insomnia diagnosis [26, 28, 29]. The index date for those with insomnia was the first date when dual criteria for COPD and insomnia was met. The index date for those without insomnia was set as the COPD index date.

Outcomes of interest

The outcomes of interest were 1-year healthcare utilization and costs after the index date. COPD-related healthcare utilization variables included the number of outpatient visits (including outpatient clinic visits and emergency department visits) and hospitalizations with a primary diagnosis was COPD. Length of hospital stay was also captured. Pharmacy utilization was determined by a prescription fill of antibiotics and/or corticosteroids. Costs related to COPD-specific outpatient visits (specifically for outpatient clinic visits) and hospitalizations were obtained from cost estimates from Health Economics Resource Center average cost data files, which are modeled from Medicare claims data and adjusted to reflect total annual VHA expenditures [30, 31]. Only VA medical costs data were included, thus, costs incurred from VA pharmacy, Medicare Advantage, Medicaid, care paid for by VA but received in the community, and private insurance (community care) were excluded. Inpatient cost estimates were based on the national average cost of a hospital stay given its Diagnosis Related Group (DRG), overall length of stay, and days in intensive care [30]. Outpatient cost estimates were based on estimates

 Table 1
 Demographic and baseline clinical characteristics by insomnia status

| Characteristic | Insomnia (n = 407,969) | No Insomnia (n=603,677) | Ef- fect Size ^a |
|---|---------------------------|----------------------------|----------------------------------|
| Age (years), mean (SD) | 65.6 (10.7) | 70.3 (10.9) | 0.44 |
| Sex, male, <i>n</i> (%) | 387,395 (94.9) | 586,246 (97.1) | 0.06 |
| Race/Ethnicity, <i>n (%)</i> | | | 0.06 |
| White | 308,410 (75.6) | 462,047 (76.5) | |
| Black | 52,229 (12.8) | 63,836 (10.6) | |
| Hispanic | 13,917 (3.4) | 14,855 (2.5) | |
| Other/Missing | 33,413 (8.2) | 62,939 (10.4) | |
| Marital Status, <i>n (%)</i> | | | 0.06 |
| Married | 203,047 (49.7) | 313,258 (51.9) | |
| Never married | 167,807 (41.1) | 217,098 (35.9) | |
| Widowed/Divorced/Separated | 36,455 (8.9) | 710,264 (11.8) | |
| Other/Missing | 660 (0.2) | 2,057 (0.3) | |
| Current smoker, n (%) | 207,076 (50.8) | 247,855 (41.1) | 0.10 |
| Combat deployment, <i>n (%)</i> | 43,381 (10.6) | 56,057 (9.3) | 0.02 |
| Any Service connection, n (%) | 246,566 (60.4) | 266,034 (44.1) | 0.16 |
| VA service connection compen- sation, <i>n</i> (%) | | | 0.19 |
| None | 149,069 (36.5) | 317,967 (52.7) | |
| < 50% | 65,861 (16.1) | 110,935 (18.4) | |
| ≥ 50% | 180,761 (44.3) | 155,254 (25.7) | |
| Missing | 12,278 (3.0) | 19,521 (3.2) | |
| BMI (kg/m ²), mean (SD) | 30.0 (7.1) | 27.7 (6.2) | 0.31 |
| Any Comorbidity, <i>n (%)</i> | 378,945 (92.9) | 464,837 (77.0) | 0.21 |
| Select Comorbidities, n (%) | | | |
| Obstructive sleep apnea | 161,661 (39.6) | 45,371 (7.5) | 0.38 |
| Gastroesophageal reflux disease | 201,840 (49.5) | 213,591 (35.4) | 0.14 |
| Restless legs syndrome | 20,337 (4.9) | 10,312 (1.7) | 0.09 |
| Asthma | 31,513 (7.7) | 29,642 (4.9) | 0.06 |
| Diabetes | 184,343 (38.5) | 205,013 (33.9) | 0.11 |
| Ischemic heart disease | 184,852 (45.3) | 240,723 (39.9) | 0.05 |
| Stroke | 19,963 (4.9) | 21,285 (3.5) | 0.03 |
| Human immunodeficiency | 22,577 (5.5) | 23,829 (3.9) | 0.03 |
| virus | | | |
| Depression | 87,512 (21.5) | 32,631 (5.4) | 0.24 |
| Anxiety | 39,131 (9.6) | 18,190 (3.0) | 0.14 |
| Post-traumatic stress disorder | 129,897 (31.84) | 62,753 (10.4) | 0.26 |

Definition of abbreviations: $\mathsf{BMI}{=}\mathsf{body}$ mass index; $\mathsf{SD}{=}\mathsf{standard}$ deviation, $\mathsf{VA}{=}\mathsf{Veterans}$ Affairs

provide by Medicare using Current Procedure and Terminology Codes assigned to the VA visit [31].

Covariates

Based on prior work examining healthcare utilization and costs within the VA, we controlled for potential confounders including sociodemographic and clinical factors and VA-specific variables [32–34]. Sociodemographic factors included age at index date, sex, race and ethnicity (non-Hispanic White, Non-Hispanic Black, Hispanic, or other), and marital status (married, never married, widowed/divorced/separated, or other). Clinical factors included smoking status (current vs. former or never), body mass index (BMI) expressed as kg/m^2 , and psychiatric and medical diagnoses identified by ICD-9-CM or ICD-10-CM codes. VA-specific variables included combat deployment and receipt of VA service-connected disability compensation (none, < 50%, $\ge 50\%$), which is given to veterans based on conditions determined to be associated with military service.

Statistical analysis

Descriptive bivariate statistics were used to compare patients with COPD with and without insomnia. Continuous variables were analyzed using Student's t-test and categorical variables were analyzed using Chi-square test. Wilcoxon rank-sum tests assessed unadjusted differences in healthcare utilization between groups. Effect sizes of the bivariate differences between patients with and without insomnia were measured by Cramer's V [35] for categorical data and Cohen's d [36] for continuous variables. Strength of effect size was determined by established interpretive values for each test, as described in Table 1. The effect of insomnia on COPD-related healthcare utilization was examined using multivariate negative binomial regressions and were indicated as incident rate ratios. Quantile regressions were used to examine the effect of insomnia on COPD-related outpatient and hospitalization costs among patients who incurred costs and were indicated as differences in quantile costs. Quantile regression is often used to model costs because it allows the same modeling complexity found in linear regression while being robust against outliers. Similar to linear regression, it uses estimates to compare conditional values of the costs across values of the predictor, in this case insomnia. However, instead of utilizing the method of least squares to estimate cost values, it uses non-parametric quantiles across values of the predictor while adjusting for covariates. All multivariate analyses were adjusted for age, sex, race, marital status, smoking status, service connection, body mass index, and individual comorbid conditions (obstructive sleep apnea, gastroesophageal reflux disease, restless legs syndrome, asthma, diabetes, ischemic heart disease, stroke, human

^a By Cramer's V for categorical data and Cohen's d for continuous data, as appropriate. Cramer's V:>0.05=weak,>0.10=moderate,>0.15=strong. Cohen's d: 0.20=small, 0.50=medium, 0.80=large

immunodeficiency virus, depression, anxiety, and posttraumatic stress disorder). Statistical significance was set at P < 0.05. Statistical analyses were conducted with SAS version 9.4 (SAS Institute, Cary, NC).

Results

Participant characteristics

Our analysis included 1,011,646 patients who were diagnosed with COPD between FY2012 and FY2017. The cohort was primarily non-Hispanic White (76.8%) and male (96.2%) with a mean age of 68.4±11.1 years. Of those with COPD, 407,969 (38.8%) were identified as having insomnia. Table 1 summarizes sample demographics according to the presence of insomnia. Patients with insomnia were younger, more likely to be female, racial/ ethnic minorities, current smokers, never married, previously deployed, and receiving greater service connection. The occurrences of all comorbidities, especially obstructive sleep apnea, depression, anxiety, post-traumatic stress disorder, and restless legs syndrome, in patients with insomnia were higher than patients without insomnia. BMI was also higher in those with insomnia. Effect sizes indicated strong associations between insomnia and presence of service connection and any comorbidity, obstructive sleep apnea, depression, and post-traumatic stress disorder (Table 1). Younger age was moderately associated with insomnia.

Association between insomnia and 1-year COPD-related healthcare utilization and costs after index date

Table 2 shows the relationship between insomnia and COPD-related healthcare utilization and costs in the year

after index date. In unadjusted analyses, patients with COPD and insomnia had more total COPD-related outpatient visits (4.81 versus 3.78, P<0.001), hospitalizations (1.57 vs. 1.46, P<0.001), longer hospitalization length of stay (90.70 vs. 65.51, P<0.001), and prescription fills for corticosteroids and/or antibiotics (4.80 vs. 3.64, P<0.001) over the 1-year. Compared to those without insomnia, patients with insomnia had greater 1-year outpatient (\$885 vs. \$806, P<0.001) and hospitalization (\$79,428 vs. \$69,068, P<0.001) costs. Multivariable adjusted utilization and cost rate ratios for patients with COPD by insomnia status are also shown in Table 2. After adjustment for covariates, patients with COPD and insomnia had more 1-year outpatient visits and hospitalizations, longer hospitalization length of stay, and prescription fills for corticosteroids and/or antibiotics. These increases in hospitalizations were associated with increases in related costs. No differences in outpatient costs were found between those with and without insomnia after adjusting for covariates.

Figure 1 shows the differences between insomnia groups for outpatient and inpatient costs at selected percentiles from 5 to 95%. Adjusted insomnia group differences between total 12-month COPD-related costs at the median (50%), 5%, 10%, 25%, 75%, 90%, and 95% percentiles showed that inpatient costs for patients with insomnia were greater than costs for patients without insomnia. At the median, patients with insomnia cost \$10,345 more in inpatient care settings than patients without insomnia. Moving across the percentiles from 5 to 95%, the inpatient costs of patients with insomnia ranged from \$246 at 5% to \$169,507 at 95%. For outpatient costs, adjusted

Table 2 Association of insomnia with COPD-related healthcare utilization and costs in 12 months after index date

| | | | Unadjusted analysis | | Adjusted analysis | |
|--|---------------------------|------------------------------|-------------------------|------------------------|--------------------------------------|------------------------|
| | Insomnia (n = 407,969) | No Insomnia (n = 603,677) | Difference ^a | 95% CI | IRR ^b | 95% CI |
| Healthcare use, mean ± SD | | | | | | |
| Outpatient visits | 4.81±8.64 | 3.78±6.22 | 1.03** | 1.00-1.06 | 1.17** | 1.16-1.18 |
| Hospitalizations | 1.57±1.15 | 1.46 ± 0.99 | 0.11** | 0.10-0.12 | 1.02* | 1.02-1.03 |
| Hospitalization LOS (Days) ^c | 90.70±114.60 | 65.51±93.40 | 25.19** | 22.67- | 1.26** | 1.22-1.31 |
| | | | | 27.71 | | |
| Prescription fills for steroids and/or antibiotics | 4.80 ± 6.08 | 3.64 ± 4.90 | 1.16** | 1.05-1.26 | 1.15** | 1.13–1.18 |
| Healthcare costs, median \pm IQR | | | | | Difference at Median ^d | 95% CI |
| Outpatient visit-related costs (\$) | 885.13±1,276.18 | 806.54±1,015.48 | 78.59** | 75.74– 81.44 | 3.46 | -1.43– 8.34 |
| Hospitalization-related costs (\$) | 79,428.00±209,460.00 | 69,068.00±159,719.00 | 10,360.00** | 6,936.54– 13,783.00 | 10,344.51** | 6,715.18– 13,973.84 |

Definition of abbreviations: CI=confidence interval; COPD=chronic obstructive pulmonary disease; IRR=incidence risk ratio; LOS=length of stay

^a Calculated as the difference between patients with insomnia and patients without insomnia. Significance determined by Wilcoxon rank-sum test

^b Negative binomial regression model adjusted for age, sex, race, marital status, current smoker, service connection, body mass index, and comorbid conditions

^c Maximum 12-month inpatient length of stay set to 365 days

^d Quantile regression model adjusted for age, sex, race, marital status, current smoker, service connection, body mass index, and comorbid conditions. Wilcoxon rank test of differences in cost across percentile coefficients for insomnia

*p<0.05, **p<0.001

Luyster et al. Respiratory Research (2023) 24:93

Page 5 of 8

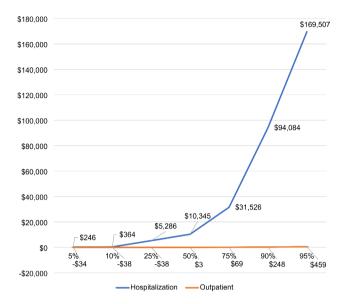


Fig. 1 Adjusted differences in total 12-month costs per percentile among patients with insomnia relative to patients without insomnia

insomnia group differences at the median (50%), 75%, 90%, and 95% percentiles showed that outpatient costs for patients with insomnia were greater than costs for patients without insomnia, but outpatient costs were less for insomnia patients at the 5%, 10%, and 25% percentiles. At the median, patients with insomnia cost \$3 more in inpatient care settings than patients without insomnia. Moving across the percentiles from 5 to 95%, the outpatient costs of patients with insomnia ranged from -\$34 at 5% to \$459 at 95%.

Discussion

To the best of our knowledge, this study presents for the first time the prevalence of insomnia and its associated impact on utilization of healthcare services and associated costs in a large national cohort with COPD. Results revealed an approximately 4-fold higher prevalence of insomnia in patients with COPD compared to rates reported in the general population [37]. Insomnia was associated with increased COPD-related healthcare utilization and costs. Patients with insomnia had hospital stays that were 38% longer than patients without insomnia, which likely contributed to greater hospitalization-related costs among patient with insomnia.

Prior studies of COPD patients reported the prevalence of insomnia disorder to be between 25% and 47.2% [7, 10, 38] and utilized generally small sample sizes and nondiagnostic insomnia criteria such as questionnaires or specific scales. In contrast, our cohort included over one million patients with COPD and utilized ICD codes and sedative-hypnotic prescription as indicators of insomnia. The high prevalence of insomnia (37%) found in our study aligns with prevalence rates in previous reports that utilized diagnostic insomnia criteria [39, 40]. Age, sex, and co-existing medical and psychiatric conditions have been identified as risk factors for insomnia [41]. In our study and prior studies in patients with COPD, younger age, female sex, current smoking, and physical and mental disorders were found to be associated with insomnia [7, 10]. Of particular note, obstructive sleep apnea, depression, and post-traumatic stress disorder were approximately 3 to 4-times more likely in those with insomnia compared to those without insomnia in our COPD cohort which was comprised of Veterans suggesting a potential critical role of comorbid sleep and psychiatric disorders in the manifestation of insomnia.

Comorbidities among patients with COPD increase the rates of all-cause and COPD-related hospitalizations, length of stay, and in-hospital costs [42-46]. Our study builds upon the current literature by investigating the impact of comorbid insomnia on COPD-related healthcare utilization and costs, showing that insomnia is longitudinally predictive of higher rates of outpatient visits and hospitalizations, longer hospital length of stay, and hospital-related costs even after controlling for other comorbidities. Our results confirm an earlier report demonstrating baseline sleep disturbance suggestive of insomnia as a predictor of COPD-related emergency utilization (hospitalizations or ED visits) over the ensuing year [12]. These findings suggest that insomnia is a strong contributor of healthcare outcomes and costs in patients with COPD. The connection between insomnia and healthcare use and costs may be partially explained by evidence indicating that sleep difficulties independently predict incident COPD exacerbations [47, 48]. In our study, we examined the number of prescription fills for steroids and/or antibiotics, which can be indicative of a COPD exacerbation. Insomnia was longitudinally associated with greater prescription fills for corticosteroids and/or antibiotics, thus providing further support for insomnia being a risk factor for worse COPD outcomes.

There are several potential explanations for the association between insomnia and greater healthcare usage. Sleep disturbance is associated with an elevated systemic inflammatory response, increased C-reactive protein and interleukin-6, which could instigate COPD exacerbations due to increased systemic and airway inflammation [49]. Sleep insufficiency compromises immune function, thus increasing susceptibility to upper and lower respiratory tract infections that can trigger COPD exacerbations [50, 51]. Impairments in memory and attention is common in insomnia and cognitive dysfunction can lead to poor adherence to COPD medications and improper inhaler use [52, 53]. Conversely, insomnia could be an indicator of more severe disease, as increased and unstable COPD symptoms and use of medications such as β -agonists and corticosteroids could lead to sleep disturbances [11]. In addition, insomnia frequently co-occurs with common comorbidities in COPD, such as depression, anxiety, and obstructive sleep apnea, which have been associated with increased risk of COPD exacerbations [54-57]. The relationship between insomnia and COPD is complex and likely multiple mechanisms are in effect at once. Management of multimorbidity in patients with COPD involves identification and treatment of comorbidities [58]. Although treatment of COPD and other comorbid conditions may resolve insomnia symptoms, in some cases insomnia may become self-sustaining and consequently an independent disease process which requires targeted treatment. Cognitive-behavioral therapy for insomnia (CBT-I) is the first-line treatment for insomnia and is associated with reduced healthcare utilization and costs [59, 60]. A recent clinical trial of CBT-I in patients with COPD reported decreases in insomnia and improvements in fatigue and dyspnea [61]. Hypnotics, including benzodiazepine receptor agonists and sedating antidepressants, should be used with caution in patients with severe COPD and used short-term or intermittently in more stable patients as long-term use may be associated with adverse respiratory outcomes [47]. Despite these risks, benzodiazepine receptor agonists are frequently prescribed among patients with COPD [62, 63]. Non-benzodiazepine receptor agonists may have fewer respiratory depressant effects in COPD patients than benzodiazepine receptor agonists [64]. Future studies are needed to examine whether treatment-related reductions in insomnia via CBT-I or pharmacological treatments are associated with reduced healthcare usage and costs in patients with COPD.

Strengths of the study include a large sample size inclusive of all COPD users of the largest integrated healthcare system in the United States, use of electronic medical records data rather than patient self-report for determination of healthcare utilization, and prospective, detailed utilization and cost data. The study also has several limitations. First, insomnia is frequently treated but not often diagnosed [26]. We attempted to address this discrepancy by identifying insomnia through prescription fills for sedative-hypnotics; however, the indication for the prescribed medications could not be determined. Diagnosis of insomnia was based on ICD-9-CM and ICD-10-CM codes, yet the criteria used by providers for determining diagnosis is unclear. Therefore, the number of patients with insomnia in our study may over- or under-represent the true prevalence. Second, the use of ICD codes for the identification of COPD diagnosis could have led to misdiagnosis or underdiagnosis of COPD in our study. Additionally, the severity of COPD was unable to be reported and may be an important factor influencing healthcare use and costs. Unfortunately, availability of spirometry and Global Initiative for Chronic Obstructive Lung Disease data to confirm ICD diagnosis and severity of COPD was very limited in our study cohort due to variability in data collection, often which requires natural language processing of notes to extract relevant data. Prior research reported that sleep disturbance remained longitudinally associated with respiratory-related emergency utilization, even after controlling for forced expiratory volume in 1 s and COPD severity based on a validated survey [12]. Third, this study included veterans within the VA, thus studies within other healthcare systems are needed to confirm associations between insomnia and healthcare utilization and costs among patients with COPD. Finally, because utilization outcomes were extracted from VHA administrative data, we were unable to capture utilization that occurred outside of the VA. Furthermore, given that the study was focused on the VHA system, costs for healthcare delivered outside of the VHA system was not captured and thus the findings may not generalize to other healthcare delivery systems. Future studies should evaluate healthcare utilization across multiple healthcare systems or take into account provider visits that occurred outside on patients' primary systems.

Conclusion

This study suggests that insomnia is common among patients with COPD and is associated with increased COPD-related healthcare utilization, including prescription fills for steroids and/or antibiotics, outpatient visits, and hospitalizations. Proper management of COPD and other comorbid conditions may help to resolve insomnia symptoms; however, routine assessment of insomnia and initiation of insomnia treatment should be considered. Insomnia is often undiagnosed [26] and could be a potentially modifiable target for reducing the burden of COPD on patients and healthcare systems.

List of abbreviations

| BMI | body mass index |
|-----------|--|
| CBT-I | cognitive-behavioral therapy for insomnia |
| COPD | chronic obstructive pulmonary disease |
| FY | fiscal year |
| ED | emergency department |
| ICD-9-CM | International Classification of Diseases, Ninth Revision, Clinical |
| | Modification |
| ICD-10-CM | International Classification of Diseases, Tenth Revision, Clinical |
| | Modification |
| VA | Veteran Affairs |
| VHA | Veterans Health Administration |

Acknowledgements

The views expressed in this article are those of the authors and do not necessarily reflect the views of the U.S. Department of Veterans Affairs or the United States Government.

Authors' Contributions

F.S.L., M.Y.B., and J.M.B. contributed substantially to the study conception and design. F.S.L. and M.Y.B. drafted the manuscript. M.Y.B. contributed substantially to data acquisition and data analysis. F.S.L., M.Y.B., and J.M.B. contributed substantially to data interpretation and the critical revision of this manuscript. F.S.L., M.Y.B. and J.M.B. approved this final version.

Funding

This work was supported by the Veterans Affairs Healthcare Network, VISN 4 Competitive Career Development Fund. The funding agency had no role in conducting the study, or role in the preparation, review, or approval of the manuscript.

Data Availability

Data are not public but may be available upon reasonable request to the corresponding author.

Declarations

Ethics approval and consent to participate

The VA Pittsburgh Healthcare System Institutional Review Board approved this study (IRB# PRO00002714). Consent to participate is not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Received: 5 December 2022 / Accepted: 16 March 2023 Published online: 25 March 2023

References

- López-Campos JL, Tan W, Soriano JB. Global burden of COPD. Respirology. 2016;21:14–23.
- Wheaton AG, Liu Y, Croft JB, VanFrank B, Croxton TL, Punturieri A, et al. Chronic obstructive pulmonary disease and smoking status – United States, 2017. Morb Mortal Wkly Rep. 2019;68:533–8.
- Hurst JR, Skolnik N, Hansen GJ, Anzueto A, Donaldson GC, Dransfield MT, et al. Understanding the impact of chronic obstructive pulmonary disease exacerbations on patient health and quality of life. Eur J Intern Med. 2020;73:1–6.
- Jinjuvadia C, Jinjuvadia R, Mandapakala C, Durairajan N, Liangpunsakul S, Soubani AO. Trends in outcomes, financial burden, and mortality for acute exacerbation of chronic obstructive pulmonary disease (COPD) in the United States from 2002 to 2010. COPD. 2017;14:72–9.
- Prudente R, Franco EAT, Mesquita CB, Ferrari R, De Godoy I, Tanni SE. Predictors of mortality in patients with COPD after 9 years. Int J Chron Obstruct Pulmon Dis. 2018;13:3389–98.
- Iheanacho I, Zhang S, King D, Rizzo M, Ismaila AS. Economic burden of chronic obstructive pulmonary disease (COPD): a systematic literature review. Int J Chron Obstruct Pulmon Dis. 2020;15:439–60.
- Budhiraja R, Parthasarathy S, Budhiraja P, Habib MP, Wendel C, Quan SF. Insomnia in patients with COPD. Sleep. 2012;35:369–75.
- Geiger-Brown J, Lindberg S, Krachman S, McEvoy CE, Criner GJ, Connett JE, et al. Self-reported sleep quality and acute exacerbations of chronic obstructive pulmonary disease. Int J Chron Obstruct Pulmon Dis. 2015;10:389–97.
- Hynninen MJ, Pallesen S, Hardie J, Eagan TM, Bjorvatn B, Bakke P, et al. Insomnia symptoms, objectively measured sleep, and disease severity in chronic obstructive pulmonary disease outpatients. Sleep Med. 2013;14:1328–33.
- Xiang Y-T, Wong T-S, Tsoh J, Ungvari GS, Correll CU, Ko FW, et al. Insomnia in older adults with chronic obstructive pulmonary disease (COPD) in Hong Kong: a case-control study. COPD. 2014;11:319–24.
- Budhiraja R, Siddiqi TA, Quan SF. Sleep disorders in chronic obstructive pulmonary disease: etiology, impact, and management. J Clin Sleep Med. 2015;11:259–70.
- Omachi TA, Blanc PD, Claman DM, Chen H, Yelin EH, Julian L, et al. Disturbed sleep among COPD patients is longitudinally associated with mortality and adverse COPD outcomes. Sleep Med. 2012;13:476–83.
- Shorofsky M, Bourbeau J, Kimoff J, Jen R, Malhotra A, Ayas N, et al. Impaired Sleep Quality in COPD is Associated with Exacerbations: the CanCOLD cohort study. Chest. 2019;156:852–63.

- Spruit MA, Pitta F, McAuley E, ZuWallack RL, Nici L. Pulmonary rehabilitation and physical activity in patients with chronic obstructive pulmonary disease. Am J Respir Crit Care Med. 2015;192:924–33.
- Zeidler MR, Martin JL, Kleerup EC, Schneider H, Mitchell MN, Hansel NN, et al. Sleep disruption as a predictor of quality of life among patients in the subpopulations and intermediate outcome measures in COPD study (SPI-ROMICS). Sleep. 2018;41:zsy044.
- Anderson LH, Whitebird RR, Schultz J, McEvoy CE, Kreitzer MJ, Gross CR. Healthcare utilization and costs in persons with insomnia in a managed care population. Am J Manag Care. 2014;20:e157–165.
- Kaufmann CN, Canham SL, Mojtabai R, Gum AM, Dautovich ND, Kohn R, et al. Insomnia and health services utilization in middle-aged and older adults: results from the Health and Retirement Study. J Gerontol A Biol Sci Med Sci. 2013;68:1512–7.
- Ozminkowski RJ, Wang S, Walsh JK. The direct and indirect costs of untreated insomnia in adults in the United States. Sleep. 2007;30:263–73.
- Tzuang M, Owusu JT, Huang J, Sheehan OC, Rebok GW, Paudel ML, et al. Associations of insomnia symptoms with subsequent health services use among community-dwelling US older adults. Sleep. 2021;44:zsaa251.
- Wickwire EM, Tom SE, Scharf SM, Vadlamani A, Bulatao IG, Albrecht JS. Untreated insomnia increases all-cause health care utilization and costs among Medicare beneficiaries. Sleep. 2019;42:zsz007.
- Wickwire EM, Vadlamani A, Tom SE, Johnson AM, Scharf SM, Albrecht JS. Economic aspects of insomnia medication treatment among Medicare beneficiaries. Sleep. 2020;43:zsz192.
- Huang C-J, Huang C-L, Fan Y-C, Chen T-Y, Tsai P-S. Insomnia increases symptom severity and health care utilization in patients with fibromyalgia. Clin J Pain. 2019;35:780–5.
- Trantham L, Sikirica MV, Candrilli SD, Benson VS, Mohan D, Neil D, et al. Healthcare costs and utilization associated with muscle weakness diagnosis codes in patients with chronic obstructive pulmonary disease: a United States claims analysis. J Med Econ. 2019;22:319–27.
- 24. Singh G, Agarwal A, Zhang W, Kuo Y-F, Sultana R, Sharma G. Impact of PAP therapy on hospitalization rates in Medicare beneficiaries with COPD and coexisting OSA. Sleep Breath. 2019;23:193–200.
- Cooke CR, Joo MJ, Anderson SM, Lee TA, Udris EM, Johnson E, Au DH. The validity of using ICD-9 codes and pharmacy records to identify patients with chronic obstructive pulmonary disease. BMC Health Serv Res. 2011;11:1–10.
- 26. Bramoweth AD, Tighe CA, Berlin GS. Insomnia and insomnia-related care in the Department of veteran affairs: an electronic health record analysis. Int J Environ Res Public Health. 2021;18:8573.
- Sateia MJ, Buysse DJ, Krystal AD, Neubauer DN, Heald JL. Clinical practice guideline for the pharmacologic treatment of chronic insomnia in adults: an american academy of sleep medicine clinical practice guideline. J Clin Sleep Med. 2017;13:307–49.
- Folmer RL, Smith CJ, Boudreau EA, Hickok AW, Tottem AM, Kaul B, et al. Prevalence and management of sleep disorders in the Veterans Health Administration. Sleep Med Rev. 2020;54:101358.
- Bramoweth AD, Renqvist JG, Hanusa BH, Walker JD, Germain A, Atwood CW. Identifying the demographic and mental health factors that influence insomnia treatment recommendations within a veteran population. Behav Sleep Med. 2019;17:181–90.
- Wagner T, Chow A, Su P, Barnett P. HERC's average cost datasets for VA inpatient care. Guidebook. Menlo Park, VA: VA Palo Alto, Health Economics Resource Center; 2018.
- Phibbs C, Scott J, Flores N, Barnett P. HERC's outpatient average cost dataset for VA Care: fiscal year 2013 update guidebook. Menlo Park, CA:VA Palo Alto, Health Economics Resource Center; 2014.
- Chang ET, Zulman DM, Nelson KM, Rosland A-M, Ganz DA, Fihn SD, et al. Use of general primary care, specialized primary care, and other veterans affairs services among high-risk veterans. JAMA Netw Open. 2020;3:e208120–0.
- Fried DA, Helmer D, Halperin WE, Passannante M, Holland BK. Health and health care service utilization among us veterans denied va serviceconnected disability compensation: a review of the literature. Mil Med. 2015;180:1034–40.
- Darnell K, Dwivedi AK, Weng Z, Panos RJ. Disproportionate utilization of healthcare resources among veterans with COPD: a retrospective analysis of factors associated with COPD healthcare cost. Cost Eff Resour Alloc. 2013;11:13.
- 35. Cramer H. Mathematical methods of statistics. Princeton: Princeton University Press; 1946.

- Sawilowsky SS. New effect size rules of thumb. J Mod Appl Stat Methods. 2009;8:597–9.
- 37. Morin CM, Drake CL, Harvey AG, Krystal AD, Manber R, Riemann D, et al. Insomnia disorder. Nat Rev Dis Primers. 2015;1:1–18.
- Ban WH, Joo H, Lim JU, Kang HH, Moon HS, Lee SH. The relationship between sleep disturbance and health status in patients with COPD. Int J Chron Obstruct Pulmon Dis. 2018;13:2049–55.
- Budhiraja R, Roth T, Hudgel DW, Budhiraja P, Drake CL. Prevalence and polysomnographic correlates of insomnia comorbid with medical disorders. Sleep. 2011;34:859–67.
- Vaidya S, Gothi D, Patro M. Prevalence of sleep disorders in chronic obstructive pulmonary disease and utility of global sleep assessment questionnaire: an observational case–control study. Ann Thorac Med. 2020;15:230–7.
- Morin CM, Jarrin DC. Epidemiology of insomnia: prevalence, course, risk factors, and public health burden. Sleep Med Clin. 2013;8:281–97.
- Hansen NS, Ängquist L, Lange P, Jacobsen R. Comorbidity clusters and healthcare use in individuals with COPD. Respir Care. 2020;65:1120–7.
- Li C-L, Lin M-H, Chen P-S, Tsai Y-C, Shen L-S, Kuo H-C, et al. Using the BODE index and comorbidities to predict health utilization resources in chronic obstructive pulmonary disease. Int J Chron Obstruct Pulmon Dis. 2020;15:389–95.
- 44. Shah CH, Onukwugha E, Zafari Z, Villalonga-Olives E, Park J-e, Slejko JF. Economic burden of comorbidities among COPD patients hospitalized for acute exacerbations: an analysis of a commercially insured population. Expert Rev Pharmacoecon Outcomes Res. 2022;22:638–90.
- Byng D, Lutter JI, Wacker ME, Jörres RA, Liu X, Karrasch S, et al. Determinants of healthcare utilization and costs in COPD patients: first longitudinal results from the german COPD cohort COSYCONET. Int J Chron Obstruct Pulmon Dis. 2019;14:1423–39.
- 46. Schwab P, Dhamane AD, Hopson SD, Moretz C, Annavarapu S, Burslem K, et al. Impact of comorbid conditions in COPD patients on health care resource utilization and costs in a predominantly Medicare population. Int J Chron Obstruct Pulmon Dis. 2017;12:735–44.
- Li SQ, Sun XW, Zhang L, Ding YJ, Li HP, Yan YR, et al. Impact of insomnia and obstructive sleep apnea on the risk of acute exacerbation of chronic obstructive pulmonary disease. Sleep Med Rev. 2021;58:101444.
- Shorofsky M, Bourbeau J, Kimoff J, Jen R, Malhotra A, Ayas N, et al. Impaired sleep quality in COPD is associated with exacerbations: the CanCOLD cohort study. Chest. 2019;156:852–63.
- MacNee W. Systemic inflammatory biomarkers and co-morbidities of chronic obstructive pulmonary disease. Ann Med. 2013;45:291–300.
- Besedovsky L, Lange T, Haack M. The sleep-immune crosstalk in health and disease. Physiol Rev. 2019;99:1325–80.
- Majde JA, Krueger JM. Links between the innate immune system and sleep. J Allergy Clinc Immunol. 2005;116:1188–98.

- O'Conor R, Muellers K, Arvanitis M, Vicencio DP, Wolf MS, Wisnivesky JP, et al. Effects of health literacy and cognitive abilities on COPD self-management behaviors: a prospective cohort study. Respir Med. 2019;160:105630.
- Wardle-Pinkston S, Slavish DC, Taylor DJ. Insomnia and cognitive performance: a systematic review and meta-analysis. Sleep Med Rev. 2019;48:101205.
- Laurin C, Moullec G, Bacon SL, Lavoie KL. Impact of anxiety and depression on chronic obstructive pulmonary disease exacerbation risk. Am J Respir Crit Care Med. 2012;185:918–23.
- Marin JM, Soriano JB, Carrizo SJ, Boldova A, Celli BR. Outcomes in patients with chronic obstructive pulmonary disease and obstructive sleep apnea: the overlap syndrome. Am J Respir Crit Care Med. 2010;182:325–31.
- Sweetman A, Lack L, Bastien C. Co-morbid insomnia and sleep apnea (COMISA): prevalence, consequences, methodological considerations, and recent randomized controlled trials. Brain Sci. 2019;9:371.
- 57. Taylor DJ, Lichstein KL, Durrence HH, Reidel BW, Bush AJ. Epidemiology of insomnia, depression, and anxiety. Sleep. 2005;28:1457–64.
- Global Initiative for Chronic Obstructive Lung Disease. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease: 2023 report. 2023. https://goldcopd.org/2023-gold-report-2/. Accessed 12 Jan 2023.
- Natsky AN, Vakulin A, Chai-Coetzer CL, Lack L, McEvoy R, Lovato N, et al. Economic evaluation of cognitive behavioural therapy for insomnia (CBT-I) for improving health outcomes in adult populations: a systematic review. Sleep Med Rev. 2020;54:101351.
- Reynolds SA, Ebben MR. The cost of insomnia and the benefit of increased access to evidence-based treatment: cognitive behavioral therapy for insomnia. Sleep Med Clin. 2017;12:39–46.
- Kapella MC, Steffen J, Prasad B, Laghi F, Vispute S, Kemner G, et al. Therapy for insomnia with chronic obstructive pulmonary disease: arandomized trial of components. J Clin Sleep Med. 2022;18:2763–74.
- 62. Halvorsen T, Martinussen PE. Benzodiazepine use in COPD: empirical evidence from Norway. Int J Chronic Obstr Pulm Dis. 2015;10:1695–702.
- Vozoris NT, Fischer HD, Wang X, Anderson GM, Bell CM, Gershon AS, et al. Benzodiazepine use among older adults with chronic obstructive pulmonary disease: a population-based cohort study. Drugs Aging. 2013;30:183–92.
- 64. Chen S-J, Yeh C-M, Chao T-F, Liu C-J, Wang K-L, Chen T-J, et al. The use of benzodiazepine receptor agonists and risk of respiratory failure in patients with chronic obstructive pulmonary disease: a nationwide population-based case-control study. Sleep. 2015;38:1045–50.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.