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# Long-term exposure to PM<sub>10</sub> and NO<sub>2</sub> in relation to lung function and imaging phenotypes in a COPD cohort



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# Abstract

**Background:** Ambient air pollution can contribute to the development and exacerbation of COPD. However, the influence of air pollution on objective COPD phenotypes, especially from imaging, is not well studied. We investigated the influence of long-term exposure to air pollution on lung function and quantitative imaging measurements in a Korean cohort of participants with and without COPD diagnosis.

**Methods:** Study participants (N = 457 including 296 COPD cases) were obtained from the COPD in Dusty Areas (CODA) cohort. Annual average concentrations of particulate matter less than or equal to 10 µm in diameter (PM<sub>10</sub>) and nitrogen dioxide (NO<sub>2</sub>) were estimated at the participants' residential addresses using a spatial air pollution prediction model. All the participants underwent volumetric computerized tomography (CT) and spirometry measurements and completed survey questionnaires. We examined the associations of PM<sub>10</sub> and NO<sub>2</sub> with FVC, FEV<sub>1</sub>, emphysema index, and wall area percent, using linear regression models adjusting for age, gender, education, smoking, height, weight, and COPD medication.

**Results:** The age of study participants averaged 71.7 years. An interquartile range difference in annual  $PM_{10}$  exposure of 4.4 µg/m<sup>3</sup> was associated with 0.13 L lower FVC (95% confidence interval (Cl), -0.22- -0.05, p = 0.003). Emphysema index (mean = 6.36) was higher by 1.13 (95% Cl, 0.25–2.02, p = 0.012) and wall area percent (mean = 68.8) was higher by 1.04 (95% Cl, 0.27–1.80, p = 0.008). Associations with imaging phenotypes were not observed with NO<sub>2</sub>.

**Conclusions:** Long-term exposure to PM<sub>10</sub> correlated with both lung function and COPD-relevant imaging phenotypes in a Korean cohort.

Keywords: Air pollution, COPD, CT, Lung function, Traffic

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# Introduction

Air pollution is an important risk factor for the mortality and morbidity of cardiorespiratory diseases globally [1]. Global estimates of premature deaths and disabilityadjusted life-years from COPD by air pollution are 0.86 and 16.8 million in 2015 [2]. Increased short-term exposure to ambient air pollution for a few days is associated with respiratory mortality and exacerbation of respiratory diseases leading to hospital admission [3-5]. Long-term exposure to ambient air pollution for years has been associated with reduced lung function and also can contribute to the development and exacerbation of COPD [6-9]. These studies focused on concentrations of traffic-related air pollutants such as particulate matter less than or equal to 10 or 2.5 µm in diameter (PM<sub>10</sub> or PM<sub>2.5</sub>) and nitrogen dioxide (NO<sub>2</sub>). In recent years, more refined methods have been developed to adequately estimate individual-level air pollution concentrations at residential addresses [10].

Recent advances in computed tomography (CT) measurement lead to understanding of the clinical implications of emphysema severity and airway wall thickening. Emphysema is an important structural feature of COPD and is associated with adverse outcomes with or without COPD [11, 12]. Airway wall thickening measured by CT was associated with cigarette smoking and disease severity [13]. However, only few studies have examined the effects of air pollution on these imaging phenotypes so far [14–16]. Previous studies were performed in Western countries. Genetic factors and nature of the PM may differ across regions. Studies based on a well-designed cohort including COPD patients, diverse environmental exposure data, and imaging measures can clarify the effects of air pollution on imaging phenotypes as well as lung function [17].

The COPD in Dusty Areas (CODA) cohort in South Korea was constructed focusing on the people living near cement plants in Gangwon and Chungbuk provinces, South Korea [18–20] and employed a recently-developed air pollution prediction model for improved exposure assessment at the individual level [21]. We investigated the association between traffic-related air pollution and both lung function and quantitative imaging phenotypes including emphysema severity and airway measurements. Some of these results have been previously presented as an abstract [22].

# Methods

# Study population

A total of 504 subjects who resided in areas near cement plants were recruited in the CODA cohort between 2012 and 2017 in South Korea. We recruited participants from affected administrative districts that were selected by the National Institute of Environmental Research of Korea based on the distances and wind direction to cement plants. We mailed an invitation and then subsequently called each subject whose address was located within our pre-defined area of study. Subjects include those having or not having airflow limitations based on spirometry. The protocols of data collection in the CODA cohort were previously described in detail [23–25]. In brief, we obtained data on demographic characteristics, medical history, and environmental exposures from participant questionnaires.

# Spirometry and imaging procedures

Lung function was measured before and after administrating 400 µg of salbutamol using EasyOne (NDD, Zurich, Switzerland) and pulmonary function measures were selected according to ATS/ERS criteria [26]. We focused on FEV<sub>1</sub> and FVC as the two lung function outcomes in this study. COPD status was defined as a post-bronchodilator FEV<sub>1</sub>/FVC less than 0.7 at baseline. CT measurements were obtained using a dual-source CT scanner (Somatom Definition, Siemens Healthcare, Forchheim, Germany) at full inspiration and expiration in the supine position. Emphysema index was calculated as the percentage of lung area below - 950 HU threshold, while wall area percent was defined as (100 x wall area/total bronchial area) to assess airway thickness and was measured near the origin of the right apical and left apicoposterior segmental bronchi using in-house software and the two measurements were averaged [25, 27, 28]. Functional small airway disease was calculated as a percentage of lung area between  $\geq$  -950HU at inspiration and < -856HU at expiration after image co-registration of inspiratory and expiratory CT using an Aview<sup>®</sup> system (Coreline Soft Inc., Seoul, South Korea). Written informed consent was given by each participant. This study received ethical approval from the Kangwon National University Hospital IRB (KNUH 2012-06-007, clinical trial registration number KCT-0000552).

# Air pollution exposure assessment

Annual average concentrations of  $PM_{10}$  and  $NO_2$  at participants' home addresses were estimated from a previously-developed air pollution prediction model. The details of this model have been described previously [21]. Based on the air quality monitoring data for 2010 in South Korea, this model estimated annual average concentrations at any location in South Korea using a universal kriging framework that consists of summary predictors of about 300 geographic variables and spatial correlation of air pollution concentrations. The cross-validated R<sup>2</sup> values indicating the prediction ability of the model were 0.45 and 0.82 for  $PM_{10}$  and  $NO_2$ , respectively. This model performance was comparable to those of national-scale prediction models in North America and Europe [29–31].

# Statistical analyses

To investigate the association of  $PM_{10}$  and  $NO_2$  with  $FEV_1$ , FVC, emphysema, and wall area percent, we performed linear regression analysis adjusting for individual

characteristics. Separate models were applied to each pair of two pollutants and four outcomes. We used two models to examine the sensitivity of our results to the progressively-added confounding variables. In model 1, we adjusted for age, gender, education, smoking, height, weight, occupation, and medication for COPD to our primary model. Smoking was identified as smoking status and smoking amount in pack-years. We analyzed job in 3 groups: cement worker (regular and higher dust exposure); farmer (less frequent and lower dust exposures), all other jobs (no dust exposure). Model 2 additionally included the calendar year of pulmonary function testing, and asthma history and COPD status were added in model 3. We presented the effect estimate for an interquartile increase (IQR) in each pollutant concentration to allow the comparison given the different scales of the two pollutants. We also performed subgroup analyses stratified by gender, the status of COPD, smoking, and overweight/obesity, and underwent statistical tests of interaction using product terms with  $PM_{10}$  or  $NO_2$ . Smoking status was categorized to never vs. ever (combining former and current) smokers. Overweight/obesity was defined as a BMI  $\ge 23 \text{ kg/m}^2$ , according to the World Health Organization Asia–Pacific criteria [32]. All statistical analyses were performed using SAS version 9.4 (SAS Institute Inc., Cary, NC). The p value < 0.05 was defined as indicating statistical significance.

# Results

# Characteristics of the CODA cohort participants

There were 457 participants included in our study. (Fig. 1) The mean age was 71.7 years and the mean BMI was 23.5 kg/m<sup>2</sup>. There were 165 never (36%), 194 former (43%), and 98 current smokers (21%). Among the participants, 170 subjects (38%) had an occupational history of a cement factory worker and 149 subjects had a history of a farmer. The average post-bronchodilator FEV<sub>1</sub> and FVC were 1.96 and 3.02 L, respectively (Table 1). The average emphysema index was 6.36 and the mean wall area percent was 68.8%. Among all, 296 subjects (65%) were COPD patients and 161 subjects were non-COPD.

# Exposure to air pollution

The summary statistics of the individual-level air pollution concentrations are shown in Table 2. Annual average concentrations of  $PM_{10}$  and  $NO_2$  predicted at 457 CODA cohort participants' homes in 2010 were 43.1 ± 2.9 µg/m<sup>3</sup> was  $13.6 \pm 2.1$  ppb, respectively. These were

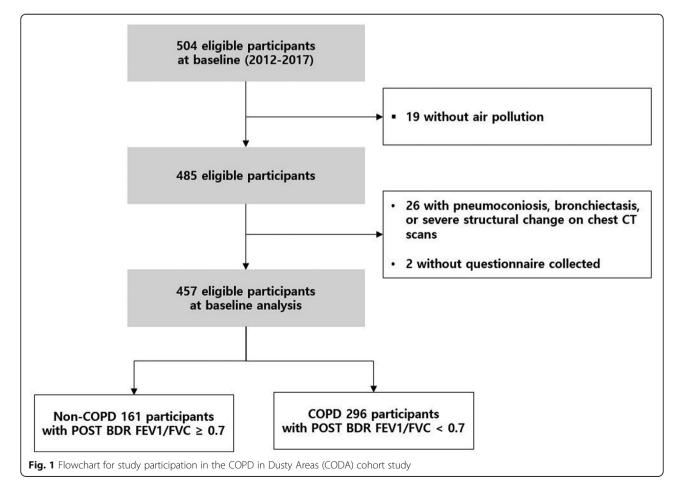


Table 1 Participant characteristics at baseline in the Korean

	All ( <i>n</i> = 457)	Non-COPD ( <i>n</i> = 161)	COPD ( <i>n</i> = 296)
Gender			
Male	335 (73.3)	97 (60.2)	238 (80.4)
Female	122 (26.7)	64 (39.8)	58 (19.6)
Age	71.7 ± 7.3	$70.8 \pm 7.7$	$72.2 \pm 7.1$
44 ~ 59 yr	29 (6.3)	15 (9.3)	14 (4.7)
60 ~ 69 yr	113 (24.7)	42 (26.1)	71 (24.0)
70 ~ 79 yr	260 (56.9)	91 (56.5)	169 (57.1)
80 ~ 96 yr	55 (12.0)	13 (8.1)	42 (14.2)
Education			
< Elementary school	143 (32.0)	43 (27.7)	100 (34.2)
Elementary school	169 (37.8)	67 (43.2)	102 (34.9)
Middle school	65 (14.5)	23 (14.8)	42 (14.4)
≥ High school	70 (15.7)	22 (14.2)	48 (16.4)
Income (x10 <sup>4</sup> won)			
≤49	280 (63.9)	95 (62.5)	185 (64.7)
50–99	70 (16.0)	22 (14.5)	48 (16.8)
≥ 100	88 (20.1)	35 (23.0)	53 (18.5)
Job			
Cement factory	170 (37.2)	55 (34.2)	115 (38.9)
farmer	149 (32.6)	62 (37.9)	87 (29.3)
Others	138 (30.2)	44 (27.3)	94 (31.8)
Smoking			
Never-smoker	165 (36.1)	87 (54.0)	78 (26.4)
Former-smoker	194 (42.5)	52 (32.3)	142 (48.0)
Current-smoker	98 (21.4)	22 (13.7)	76 (25.7)
Pack-years	17.6 ± 23.4	$12.0 \pm 18.5$	20.6 ± 25.2
Height (cm)	159.4 ± 9.3	157.8 ± 10.3	160.3 ± 8.6
Weight (kg)	59.7 ± 10.4	$60.0\pm10.6$	59.6 ± 10.3
BMI (kg/m²)	23.5 ± 3.2	24.0 ± 3.3	$23.2 \pm 3.2$
< 23.0	207 (45.3)	64 (39.8)	143 (48.3)
23.0 ~ 24.9	106 (23.2)	40 (24.8)	66 (22.3)
≥ 25.0	144 (31.5)	57 (35.4)	87 (29.4)
History of COPD medications	S		
No	362 (79.2)	149 (92.5)	213 (72.0)
Yes	95 (20.8)	12 (7.5)	83 (28.0)
Asthma, history of disease			
No	376 (83.9)	136 (87.7)	240 (81.9)
Yes	72 (16.1)	19 (12.3)	53 (18.1)
Pulmonary outcome at base	line visit, Post BC	R	
FVC, L	$3.02 \pm 0.81$	$2.88\pm0.80$	3.10 ± 0.81
FVC, % predicted	97.8 ± 19.1	96.9 ± 18.9	98.3 ± 19.3
	1.00 . 0.00		
FEV1, L	$1.96 \pm 0.60$	$2.19 \pm 0.61$	$1.84 \pm 0.56$

Table 1 Participant characteristics at baseline in the Korear
CODA cohort ( $n = 457$ ) (Continued)

	All ( <i>n</i> = 457)	Non-COPD ( <i>n</i> = 161)	COPD (n = 296)
FEV1/FVC	0.65 ± 0.11	$0.76 \pm 0.05$	$0.59 \pm 0.08$
Emphysema index, $n = 414$	$6.36\pm6.66$	$3.35\pm3.60$	$7.64 \pm 7.23$
Wall area %, <i>n</i> = 414	$68.8 \pm 5.2$	$67.5 \pm 5.4$	$69.3 \pm 5.0$
Data are mean $\pm$ SD for continu	ous variables and	n(%) for catego	rical variables

lower than the South Korean national air quality standards for annual average concentrations of  $PM_{10}$  and  $NO_2$  (50 µg/m<sup>3</sup> and 30 ppb, respectively). The correlation coefficient between the two pollutants was 0.44.

# Association between air pollution and lung function

Higher PM<sub>10</sub> was significantly associated with lower FVC in all models; in our primary analysis adjusting for individual characteristics, a 4.4  $\mu$ g/m<sup>3</sup> IQR increase in PM<sub>10</sub> concentration was associated with 0.13 L lower FVC (95% confidence interval (CI) = -0.22 - -0.05, p = 0.003) (Table 3). The effect estimate for FEV<sub>1</sub> was also negative but statistically non-significant in our primary model (regression coefficient = -0.04, 95% CI = -0.11 - 0.03, p = 0.29). Higher NO<sub>2</sub> was significantly associated with lower FVC (regression coefficient = -0.09, 95% CI = -0.17 - -0.01, p = 0.035), while FEV<sub>1</sub> was not associated with NO<sub>2</sub> (Table 3).

There were no significant interactions with the COPD status for the associations between either pollutant and lung function (Table 4). For  $PM_{10}$ , there was a significant interactions with smoking status for FVC with association only in ever smokers, (P interaction = 0.011, Table 5) and with sex with associations existing only in the larger group of men (n = 335) (P interaction = 0.021, Table 6). We found no interaction with overweight/obesity.

# Association between air pollution and CT features

For CT features, both the emphysema index and wall area percent were significantly associated with  $PM_{10}$ . For an IQR increase in  $PM_{10}$ , the emphysema index increased by 1.13 (95% CI = 0.25–2.02, p = 0.012) and the wall area percent increased by 1.04 (95% CI = 0.27–1.80, p = 0.008, Table 3) in our primary model. However, there was no association between NO<sub>2</sub> and the CT phenotypes. We repeated the analysis by including the calendar year of the pulmonary function measurement and history of asthma or COPD as a covariate and the associations for  $PM_{10}$  remained significant with the emphysema index, but not with the wall area% (Table 3). We also performed analysis on functional small airway disease and did not find any significant association (regression coefficient = 0.26, 95% CI = -2.10 - 2.62, p = 0.83).

	$Mean \pm SD$	IQR	Percent	iles				Correlation	coefficient(r)
			5th	25th	50th	75th	95th	PM <sub>10</sub>	No <sub>2</sub>
PM <sub>10</sub> (ug/m <sup>3</sup> )	43.1 ± 2.9	4.4	38.4	41.0	43.1	45.4	47.4	-	0.44***
NO <sub>2</sub> (ppb)	13.6 ± 2.1	3.0	10.2	12.3	13.5	15.3	17.2		-

**Table 2** Summary statistics and Pearson correlation coefficient of individual-level  $PM_{10}$  and  $NO_2$  concentrations estimated at participant homes in the Korean CODA cohort (n = 457)

\*\*\*: *p* < 0.0001

Stratified analysis by COPD status showed a stronger association of  $PM_{10}$  with the wall area percent among individuals without COPD (P interaction = 0.037, Table 4) There was no significant interaction with smoking status or gender (Tables 5 and 6).

# Discussion

In this study, we found that  $PM_{10}$  was associated with lung function, emphysema index, and wall area percent in the Korean CODA cohort. Higher long-term  $PM_{10}$ exposure was related to lower FVC and this association appeared to be limited to men or ever-smokers. We also found significantly different associations between  $PM_{10}$ and wall area percent by COPD status. There was

**Table 3** Effect estimates and 95% confidence intervals of FVC, FEV<sub>1</sub>, emphysema index, and mean wall area % for interquartile range increases in PM<sub>10</sub> (4.4  $\mu$ g/m<sup>3</sup>) and NO<sub>2</sub> (3.0 ppb) in the CODA cohort

	All ( <i>n</i> = 457)			
	PM <sub>10</sub>		NO <sub>2</sub>	
	β (95% Cl)	Р	β (95% Cl)	Р
FVC, L				
Model 1ª	-0.13 (-0.22, -0.05)	0.003	- 0.09 (- 0.17, - 0.01)	0.035
Model 2 <sup>b</sup>	- 0.13 (- 0.22, - 0.03)	0.011	- 0.10 (- 0.18, - 0.02)	0.017
Model 3 <sup>c</sup>	- 0.12 (- 0.22, - 0.02)	0.015	- 0.09 (- 0.17, - 0.01)	0.029
FEV1, L				
Model 1ª	- 0.04 (- 0.11, 0.03)	0.294	0.00 (- 0.06, 0.07)	0.881
Model 2 <sup>b</sup>	- 0.02 (- 0.09, 0.06)	0.647	0.00 (- 0.07, 0.06)	0.950
Model 3 <sup>c</sup>	-0.07 (-0.14, 0.01)	0.078	- 0.01 (- 0.07, 0.05)	0.741
Emphysema	index			
Model 1ª	1.13 (0.25, 2.02)	0.012	0.35 (-0.48, 1.19)	0.406
Model 2 <sup>b</sup>	1.08 (-0.08, 2.23)	0.068	0.35 (-0.49, 1.18)	0.412
Model 3 <sup>c</sup>	1.13 (0.01, 2.25)	0.048	0.26 (-0.54, 1.07)	0.519
Mean wall ar	ea %			
Model 1ª	1.04 (0.27, 1.80)	0.008	0.37 (-0.35, 1.10)	0.311
Model 2 <sup>b</sup>	0.58 (-0.42, 1.58)	0.253	0.37 (-0.35, 1.09)	0.317
Model 3 <sup>c</sup>	0.51 (-0.46, 1.49)	0.302	0.32 (-0.38, 1.02)	0.373

<sup>a</sup>Model 1 was adjusted for age, gender, education, height, weight, smoking, pack-years, medication use, and job

<sup>b</sup>Model 2 was adjusted for age, gender, education, height, weight, smoking, pack-years, medication use, job and calendar year at PFT test

significant association between  $NO_2$  and FVC. However, there was no association between  $NO_2$  and imaging phenotypes.

While most previous studies of long-term air pollution and lung function in older adults were based on general populations, the current study used a cohort including healthy subjects as well as a substantial proportion of COPD subjects and found that the association with FVC was also significant in the COPD subgroup. Increased ambient air pollution including PM<sub>10</sub> and NO<sub>2</sub> was associated with decreased lung function in healthy adults from the Study on Air Pollution And Lung Disease In Adults in Switzerland [33]. In middle-aged men and women from the Atherosclerosis Risk in Communities study in the United States, increased traffic-related air pollution was associated with decreased  $FEV_1$  and FVC [34]. In middle- to old-aged participants from the Framingham Heart study in the Northeastern United States, long-term exposure to traffic emission and PM2.5 was associated with decreased FEV1 as well as  $FEV_1$  decline [35]. In Japanese women, living in areas with a high level of air pollution was associated with large  $FEV_1$  decline [36]. In the National Emphysema Treatment Trial study, one of a few studies focusing on COPD patients, an increase in  $PM_{2.5}$  was associated with a rapid decline of  $FEV_1$  [37]. Our study suggests that the influence of PM air pollution could be larger for COPD patients than for the general population.

In the current study, a significant association of  $PM_{10}$  was observed with FVC, while no association was found with FEV<sub>1</sub>. Some studies reported the consistent patterns of stronger associations with FVC than FEV<sub>1</sub>, while others found the reverse pattern. A recent paper in UK reported higher effect estimates on FVC than FEV<sub>1</sub> for PM<sub>10</sub>, but higher estimates on FEV<sub>1</sub> for PM<sub>2.5</sub> [9]. Whether PM is associated differently with lung volume or airflow limitation according to the size of the particles should be further investigated.

 $\rm NO_2$  is an important marker of traffic-related air pollution and was associated with various endpoints including COPD in previous studies, although we did not find associations with imaging phenotypes. Our cohort of fewer than 500 participants might have not provided sufficient statistical power for detecting an association, although our results showed an association of  $\rm PM_{10}$  with both lung function and CT measurements. Another

<sup>&</sup>lt;sup>c</sup>Model 3 was adjusted for age, gender, education, height, weight, smoking, pack-years, medication use, job, calendar year at PFT test, asthma and COPD

$\begin{tabular}{ c c c c c } \hline Non-COPD (n=161) \\ \hline \hline \beta (95\% Cl) & p \\ \hline \beta (95\% Cl) & p \\ \hline \beta (95\% Cl) & 0.117 \\ \hline FVC, L & 0.012 (-0.26, 0.03) & 0.117 \\ \hline Model 1^a & -0.09 (-0.25, 0.06) & 0.226 \\ \hline Model 2^b & -0.09 (-0.26, 0.04) & 0.161 \\ \hline FEV, L & 0.09 (-0.20, 0.02) & 0.112 \\ \hline Model 1^a & -0.09 (-0.20, 0.03) & 0.128 \\ \hline Model 2^b & -0.09 (-0.20, 0.03) & 0.128 \\ \hline \end{tabular}$	$\frac{\text{COPD } (n = 296)}{\beta (95\% \text{ CI})}$		interaction	Non-COPD $(n = 161)$		COPD (n = 296)		interaction
β (95% CI)           II 1 <sup>a</sup> -0.12 (-0.26, 0.03)           II 2 <sup>b</sup> -0.09 (-0.25, 0.06)           II 3 <sup>c</sup> -0.11 (-0.26, 0.04)           II 1 <sup>a</sup> -0.09 (-0.20, 0.02)           II 2 <sup>b</sup> -0.09 (-0.20, 0.03)	β (95% Cl)							
i 1 <sup>a</sup> -0.12 (-0.26, 0.03) i 2 <sup>b</sup> -0.09 (-0.25, 0.06) i 3 <sup>c</sup> -0.11 (-0.26, 0.04) i 1 <sup>a</sup> -0.09 (-0.20, 0.02) i 2 <sup>b</sup> -0.09 (-0.20, 0.03)		٩		β (95% CI)	٩	β (95% Cl)	٩	
<ul> <li>i1<sup>a</sup> -0.12 (-0.26, 0.03)</li> <li>i2<sup>b</sup> -0.09 (-0.25, 0.06)</li> <li>i3<sup>c</sup> -0.11 (-0.26, 0.04)</li> <li>i1<sup>a</sup> -0.09 (-0.20, 0.02)</li> <li>i1<sup>2<sup>b</sup></sup> -0.09 (-0.20, 0.03)</li> </ul>								
<ul> <li>I. 2<sup>b</sup> -0.09 (-0.25, 0.06)</li> <li>I. 3<sup>c</sup> -0.11 (-0.26, 0.04)</li> <li>I. 1<sup>a</sup> -0.09 (-0.20, 0.02)</li> <li>I. 2<sup>b</sup> -0.09 (-0.20, 0.03)</li> </ul>	-0.13 (-0.24, -0.02)	0.018	006:0	-0.12 (-0.25, 0.01)	0.071	-0.06 (-0.15, 0.04)	0.261	0.436
H 3 <sup>c</sup> -0.11 (-0.26, 0.04) H 1 <sup>a</sup> -0.09 (-0.20, 0.02) H 2 <sup>b</sup> -0.09 (-0.20, 0.03)	- 0.11 (- 0.22, 0.00)	0.060	0.875	-0.15 (-0.28,02)	0.024	-0.06 (-0.16, 0.04)	0.231	0.256
il 1 <sup>a</sup> — 0.09 (- 0.20, 0.02) il 2 <sup>b</sup> —0.09 (- 0.20, 0.03)	- 0.13 (- 0.24, - 0.01)	0.032	0.865	- 0.15 (- 0.28,02)	0.023	-0.05 (-0.15, 0.04)	0.268	0.229
- 0.09 (- 0.20, 0.02) -0.09 (- 0.20, 0.03)								
-0.09 (-0.20, 0.03)	- 0.04 (- 0.12, 0.04)	0.359	0.451	-0.03 (- 0.13, 0.06)	0.515	0.00 (- 0.07, 0.08)	0.912	0.550
	- 0.04 (- 0.12, 0.05)	0.387	0.452	-0.04 (- 0.14, 0.06)	0.419	0.00 (- 0.07, 0.08)	0.930	0.474
Model 3 <sup>c</sup> -0.10 (-0.21, 0.02) 0.091	- 0.05 (- 0.13, 0.04)	0.270	0.456	-0.04 (- 0.14, 0.06)	0.413	0.01 (- 0.07, 0.08)	0.861	0.437
Emphysema index								
Model 1 <sup>a</sup> 0.65 (-0.89, 2.19) 0.405	1.55 (0.52, 2.57)	0.003	0.337	-0.09 (-1.60, 1.38)	0.908	0.47 (- 0.48, 1.42)	0.332	0.523
Model 2 <sup>b</sup> 0.24 (–1.50, 1.99) 0.789	1.21 (- 0.02, 2.44)	0.053	0.298	-0.23 (-1.70, 1.23)	0.756	0.51 (-0.43, 1.46)	0.288	0.392
Model 3 <sup>c</sup> 0.41 (-1.30, 2.15) 0.641	1.39 (0.17, 2.62)	0.026	0.291	-0.22 (- 1.70, 1.23)	0.764	0.46 (- 0.48, 1.40)	0.337	0.429
Mean wall area %								
Model 1 <sup>a</sup> 2.33 (1.00, 3.66) 0.001	0.64 (-0.25, 1.53)	0.159	0.037	0.33 (-0.95, 1.61)	0.614	0.34 (- 0.49, 1.17)	0.417	0.985
Model 2 <sup>b</sup> 1.61 (0.10, 3.12) 0.037	0.05 (-1.00, 1.11)	0.922	0.055	0.16 (- 1.10, 1.42)	0.809	0.39 (-0.43, 1.21)	0.346	0.751
Model 3 <sup>c</sup> 1.65 (0.13, 3.16) 0.033	0.09 (-0.97, 1.16)	0.862	0.055	0.16 (-1.10, 1.42)	0.807	0.38 (- 0.44, 1.20)	0.360	0.764

**Table 4** Effect estimates and 95% confidence intervals of FVC, FEV1, emphysema index, and mean wall area % for interquartile range increases in  $PM_{10}$  (4.4 µg/m<sup>3</sup>) and  $NO_2$  (3.0

Never sm ( <i>n</i> = 165)	2					NO <sub>2</sub>				2
	Never smoker ( <i>n</i> = 165)		Ever (former/current) smoker ( <i>n</i> = 292)	10ker ( <i>n</i> = 292)	interaction	Never smoker ( <i>n</i> = 165)		Ever (former/current) smoker ( $n = 292$ )	oker ( <i>n</i> = 292)	interaction
β (95% CI)	% CI)	٩	β (95% Cl)	Р		β (95% Cl)	٩	β (95% CI)	Р	
FVC, L										
Model 1 <sup>a</sup> 0.02 (-	0.02 (-0.13, 0.16)	0.818	-0.21 (-0.32, -0.10)	0.000	0.011	-0.05 (- 0.18, 0.07)	0.410	-0.11 (-0.21, -0.01)	0.038	0.510
Model 2 <sup>b</sup> 0.02 (-	0.02 (- 0.12, 0.17)	0.760	-0.20 (-0.32, -0.09)	0.001	0.012	-0.07 (- 0.20, 0.05)	0.264	-0.11 (-0.21, -0.01)	0.028	0.626
Model 3 <sup>c</sup> 0.03 (-	0.03 (- 0.12, 0.18)	0.683	-0.20 (-0.31, -0.08)	0.001	0.010	- 0.07 (- 0.20, 0.06)	0.279	- 0.10 (- 0.20, 0.00)	0.049	0.708
FEV <sub>1</sub> , L										
Model 1 <sup>a</sup> 0.04 (-	0.04 (- 0.07, 0.16)	0.432	-0.09 (-0.17, 0.00)	0.046	0.064	0.00 (- 0.10, 0.10)	0.965	0.01 (- 0.07, 0.09)	0.825	0.861
Model 2 <sup>b</sup> 0.06 (-	0.06 (- 0.05, 0.18)	0.302	-0.07 (- 0.16, 0.02)	0.151	0.071	- 0.01 (- 0.12, 0.09)	0.770	0.01 (- 0.07, 0.08)	0.898	0.751
Model 3 <sup>c</sup> 0.00 (-	0.00 (-0.11, 0.11)	0.967	-0.10 (- 0.19, - 0.02)	0.020	0.133	-0.04 (- 0.14, 0.05)	0.408	0.01 (- 0.07, 0.08)	0.843	0.429
Emphysema index										
Model 1 <sup>a</sup> 1.16 (-0.37, 2.68)	-0.37, 2.68)	0.136	0.89 (- 0.18, 1.96)	0.103	0.773	0.20 (-1.20, 1.62)	0.781	0.42 (-0.61, 1.45)	0.421	0.800
Model 2 <sup>b</sup> 1.08 (-0.57, 2.73)	-0.57, 2.73)	0.200	0.79 (-0.56, 2.14)	0.252	0.755	0.19 (-1.20, 1.60)	0.794	0.42 (- 0.61, 1.45)	0.421	0.790
Model 3 <sup>c</sup> 1.45 (–0.16, 3.06)	-0.16, 3.06)	0.077	0.67 (-0.64, 1.98)	0.318	0.388	0.28 (-1.10, 1.65)	0.686	0.24 (-0.75, 1.24)	0.631	0.964
Mean wall area %										
Model 1 <sup>a</sup> 0.75 (-	0.75 (-0.55, 2.06)	0.257	1.20 (0.28, 2.11)	0.011	0.577	1.16 (- 0.05, 2.37)	0.061	-0.02 (-0.90, 0.85)	0.956	0.114
Model 2 <sup>b</sup> 0.39 (-	0.39 (- 1.00, 1.80)	0.587	0.73 (-0.42, 1.88)	0.215	0.755	1.14 (-0.06, 2.34)	0.063	-0.03 (-0.90, 0.84)	0.952	0.116
Model 3 <sup>c</sup> 0.52 (-	0.52 (-0.86, 1.90)	0.459	0.53 (-0.60, 1.65)	0.360	0.996	1.14 (-0.03, 2.31)	0.057	-0.10 (-0.95, 0.75)	0.810	0.088

	PM <sub>10</sub>				P for	NO2				P for
	Male ( <i>n</i> = 335)		Female ( <i>n</i> = 122)		interaction	Male ( <i>n</i> = 335)		Female ( <i>n</i> = 122)		interaction
	β (95% CI)	٩	β (95% Cl)	٩		β (95% Cl)	٩	β (95% Cl)	٩	
FVC, L										
Model 1 <sup>a</sup>	-0.20 (-0.30, - 0.10)	0.000	0.02 (- 0.14, 0.19)	0.762	0.021	-0.11 (-0.20, -0.02)	0.023	-0.03 (-0.18, 0.12)	0.704	0.365
Model 2 <sup>b</sup>	-0.19 (- 0.30, - 0.08)	0.001	0.03 (- 0.13, 0.19)	0.727	0.022	-0.12 (-0.21, -0.02)	0.015	-0.05 (- 0.20, 0.10)	0.527	0.436
Model 3 <sup>c</sup>	-0.18 (- 0.29, - 0.07)	0.001	0.03 (- 0.13, 0.20)	0.697	0.024	-0.11 (-0.20, -0.02)	0.022	-0.04 (- 0.19, 0.11)	0.608	0.430
FEV <sub>1</sub> , L										
Model 1 <sup>a</sup>	-0.07 (-0.15, 0.01)	0.080	0.05 (- 0.08, 0.18)	0.423	0.103	-0.01 (-0.08, 0.07)	0.856	0.03 (- 0.08, 0.15)	0.556	0.546
Model 2 <sup>b</sup>	-0.05 (-0.14, 0.03)	0.236	0.06 (- 0.06, 0.19)	0.325	0.122	-0.01 (-0.08, 0.06)	0.756	0.02 (- 0.09, 0.14)	0.695	0.613
Model 3 <sup>c</sup>	-0.09 (-0.17, -0.01)	0.034	0.00 (- 0.13, 0.12)	0.945	0.231	-0.01 (-0.08, 0.06)	0.833	-0.02 (-0.13, 0.09)	0.764	0.885
Emphysema index	dex									
Model 1 <sup>a</sup>	1.15 (0.14, 2.17)	0.026	1.08 (-0.64, 2.80)	0.219	0.942	0.42 (-0.54, 1.37)	0.391	0.15 (-1.50, 1.84)	0.861	0.786
Model 2 <sup>b</sup>	1.09 (-0.21, 2.40)	0.100	1.04 (-0.77, 2.85)	0.261	0.957	0.43 (-0.52, 1.38)	0.374	0.08 (-1.60, 1.77)	0.922	0.723
Model 3 <sup>c</sup>	0.95 (-0.31, 2.21)	0.139	1.54 (-0.22, 3.31)	0.086	0.549	0.26 (-0.67, 1.18)	0.586	0.29 (-1.30, 1.93)	0.724	0.968
Mean wall area %	%									
Model 1 <sup>a</sup>	1.30 (0.42, 2.18)	0.004	0.25 (-1.20, 1.74)	0.744	0.227	0.19 (-0.64, 1.01)	0.657	0.98 (-0.49, 2.45)	0.190	0.352
Model 2 <sup>b</sup>	0.86 (-0.27, 1.98)	0.135	-0.06 (-1.60, 1.51)	0.945	0.300	0.21 (-0.62, 1.03)	0.624	0.89 (-0.57, 2.34)	0.231	0.418
Model 3 <sup>c</sup>	0.67 (-0.43, 1.77)	0.234	0.15 (-1.40, 1.69)	0.846	0.550	0.11 (-0.69, 0.91)	0.784	0.98 (-0.44, 2.40)	0.177	0.295

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<sup>a</sup>Model 1 was adjusted for age, education, height, weight, smoking, pack-years, medication use, and job <sup>b</sup>Model 2 was adjusted for age, education, height, weight, smoking, pack-years, medication use, job and calendar year at PFT test <sup>c</sup>Model 3 was adjusted for age, education, height, weight, smoking, pack-years, medication use, job, calendar year at PFT test, asthma and COPD

possible explanation could be different features of pollution sources related to traffic between the two pollutants. With respect to traffic, PM results from resuspended road dust generated by moving vehicles, tire and brake wear, and tailpipe exhaust, whereas  $NO_2$  is mainly emitted in vehicle exhaust. The low correlation coefficient between the two pollutant concentrations (0.44) also supports this explanation. The model performance for  $NO_2$  was better than for  $PM_{10}$ , which can be explained by the large impact of local pollution sources on  $NO_2$  as opposed to  $PM_{10}$  affected by regional sources. The local sources are better characterized by geographic variables which are major input data of our prediction model.  $R^2$  values for  $PM_{10}$  are under 0.50 in other national models.

The effects of air pollution and lung function may vary by various factors such as gender, genetics, smoking status, diet, medication, and obesity. Modification by these factors is inconsistent according to the literature. In a previous general population study in Taiwan, the association between air pollution and lung function was stronger in females, the obese, and nonsmokers [38]. However, in the current study, we saw some evidence that men were more susceptible as found in previous studies, possibly because men are likely to spend more time outdoors [9, 39, 40]. However, our study had more men than women to begin with, and more male subjects smoked with a history of COPD, which may have affected our findings. Our results showed a significant association between PM<sub>10</sub> and lung function in eversmokers, but not in never smokers. This is consistent with the findings of the Framingham Heart study showing that former smokers are more susceptible to air pollution [35]. We did not find a significant interaction with overweight in the association with  $PM_{10}$ , although there are reports that obesity is a risk factor for air pollution susceptibility. The modifying effects differ according to the population.

Recent studies have revealed that imaging features are associated with adverse clinical outcomes in COPD [11]. To our knowledge, this is the first study to investigate the association between air pollution and CT features in COPD subjects. There were at least three studies based on the general population. The Multi-Ethnic Study of Atherosclerosis (MESA) including 6515 participants showed only weak evidence of the association between PM and NOx and percent emphysema from cardiac CT scans [15]. The MESA study also showed significant associations between long-term exposure to air pollutants and emphysema progression [16]. Among 2545 nonsmoking Framingham CT sub-study participants, there was no evidence of the association between ambient air pollution and radiographic measures of emphysema or airway disease, whereas the odds of emphysema in

former smokers increased for living near major roads [14]. In the current study,  $PM_{10}$  exposure was associated with increased emphysema index and wall area percent in participants with or without COPD. The depth of inspiration affects the results of the CT-derived airway measurements. An increase in the depth of inspiration results in a larger airway lumen and smaller airway thickness [41]. The influence of the inspiration level in the upper bronchus is significantly lower than that in the lower bronchus [42]. Therefore, airways were measured in the right apical and left apicoposterior segmental bronchi in our study to standardize the assessment of airway wall thickness, a measure of a chronic bronchitis phenotype. The association with wall thickness differed according to COPD status. PM<sub>10</sub> exposure was associated with wall area percent especially in the non-COPD group. Occupational dust/fume exposure was associated with air trapping, and airway wall thickness in men [43] and our previous study of biomass exposure showed an association with wall area percent in smokers [44]. Our current results suggest that ambient air pollution can also influence airway thickening as well as worsen emphysema.

Our study has some limitations to address. First, we used modeled annul-average concentrations of air pollution at subjects' home addresses at baseline as individual-level long-term exposure to air pollution, without incorporating early exposures in the life course. Household exposure and exposure varying by timeactivities were not accounted for either. Future analyses considering highly-resolved exposure estimates with longitudinal address information and time activity data may address the impact of these limitations. We also used annual-average concentrations in the year of 2010 and applied to our cohort data started in 2012. We assumed that the spatial distribution of air pollution concentrations is consistent throughout the study period. Since this is a cohort study which relies on the spatial contrast of air pollution across participants, a change of concentrations over 5 years may not matter as much compared to the change in spatial ranking of high and low pollution areas. Our previous study showed high correlation (Pearson correlation coefficient = 0.94) between 4-year averages for 2009-2012 and annual averages in 2010 across about 300 air quality regulatory monitoring sites [45]. Annual average concentration of  $PM_{10}$  and  $NO_2$ were below the South Korean national air quality standard (50  $\mu$ g/m<sup>3</sup> and 30 ppb, respectively). However, these are still higher than the average concentrations and the air quality standards in the US and Europe where many studies reported the associations with respiratory outcomes. Secondly, as some previous epidemiological studies reported, PM<sub>2.5</sub> may be strongly associated with COPD compared to PM<sub>10</sub> or NO<sub>2</sub>. It is not feasible to include  $PM_{2.5}$  to this study because national-scale  $PM_{2.5}$  regulatory monitoring data are available since 2015. The sample size is relatively small. However, our strength using standardized spirometry and quantitative CT measurement using a single CT scanner could have allowed us to detect the association. This cohort recruited participants near cement plants, generalizability to areas without such point source may be reduced.

# Conclusions

In conclusion, both lung function and imaging phenotypes (emphysema and airway wall thickening) were associated with  $PM_{10}$  exposure in this population of older adults. We found evidence of differences in associations by sex, smoking and COPD status.

### Abbreviations

BMI: Body mass index; CODA cohort: Chronic obstructive pulmonary disease in dust areas cohort; COPD: Chronic obstructive pulmonary disease; CT: Computed tomography; FEV<sub>1</sub>: Forced expiratory volume in 1 s; FVC: Forced vital capacity; PM: Particulate matter; HU: Hounsfield unit

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### Authors' contributions

WJK, SYK contributed to the study design. Data collection was performed by SHH, SHB, YJH. Data analysis was carried out by SOK. Data interpretation was performed by WJK, SYK, JK, MKL, and SJL. All authors were involved in the preparation of the manuscript. The authors read and approved the final manuscript.

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# Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

### Ethics approval and consent to participate

This study was approved by the Institutional Review Board of the Kangwon National University Hospital, and all participants provided written informed consent.

# Consent for publication

Not applicable.

# **Competing interests**

The authors declare that they have no competing interests.

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