RESEARCH

Is atopy a risk indicator of chronic obstructive pulmonary disease in dairy farmers?

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Abstract: Allergic mechanisms related to environmental and occupational exposure have been suggested to contribute to the development of chronic obstructive pulmonary disease (COPD).

Objectives: To investigate the relationships between atopy markers, persistent airflow limitation (PAL) and occupational exposure in dairy farmers.

Methods: Clinical and biological (total IgE and 21 allergen specific IgE) markers of atopy were assessed in 101 dairy farmers with PAL (DF-PAL), 85 non-farmers with PAL (NF-PAL) (both groups were prospectively included from a screening program performed between 2011 and 2015), and matched controls, i.e. 98 farmers without PAL (DFcontrols) and 89 non-farming subjects without PAL (NF-controls). Occupational exposure in farmers was estimated using a validated questionnaire.

Results: Prevalence of allergy history was significantly higher in DF-PAL and in NF-PAL than in controls. Polysensitization, and sensitization to seasonal and food allergens were more frequent in DF-PAL than in DFcontrols, respectively: 13.8% vs 1% (adjusted odds ratio (aOR): 17.5 (2.2-134), 11.9% vs 3.1% (aOR: 4.4 (1.2-7.2) and 16.8% vs 4.1% (aOR: 5.2 (1.7–7.2)). The prevalence of atopy markers was similar between NF-PAL patients and NF-controls.

Conclusions: PAL in farmers is associated with a high rate of markers of atopy, supporting atopy as a risk indicator.

Clinical trial registered with ClinicalTrials.gov (NCT02540408).

Keywords: Atopy, Chronic obstructive pulmonary disease, Specific IgE, Farmers, Occupational exposure, Matthieu Veil-Picard and Thibaud Soumagne contributed equally to the work.

Chronic obstructive pulmonary disease (COPD) is a preventable and treatable disease, characterized by persistent airflow limitation, which is usually progressive [1]. The most important etiological factor for COPD is tobacco smoking, but many other factors can trigger the lung inflammation leading to COPD. Occupational

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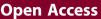
exposure to vapors, gas, dust or fumes ranks high among these factors, and accounts for 20% of COPD in developed countries [2, 3]. Biological dusts may be associated with a higher risk of occupational COPD than mineral dusts [3]. Exposure to organic dusts has been shown to be associated with an increased risk of obstructive lung diseases, especially in dairy farmers [4, 5].

Although the pathophysiological traits of tobaccorelated COPD are now well described, there are very few studies that have specifically assessed the pathophysiology of COPD related to organic dust exposure. Inflammatory response in tobacco-related COPD is dominated by Th1-type lymphocyte response, along with Th17

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Respiratory Research



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cytokine production [6] . By contrast, COPD induced by inhalation of biomass fumes could be associated with a predominantly Th2-type lymphocyte production profile, in addition to an increase in IL-4 [7].

Although there is some evidence suggesting a link between atopy and a lower FEV1 [8–10] related to organic dust exposure, especially in non-smokers, a comprehensive study of atopic response and the presence of PAL in dairy farmers using non-PAL dairy farmers as controls, as well as in subjects with or without PAL in the general population, is lacking. Since we speculate that PAL in dairy farmers may involve IgE-mediated reactions, we aimed to analyze the relationship between PAL, clinical and biological markers of atopy in farming and non-farming subjects.

Methods

Study design and subjects

Data for this study were collected as part of the BALISTIC project (COPD in dairy farmers: screening, characterization and constitution of a cohort; ClinicalTrials.gov Identifier: NCT02540408) (see additional file 1) [11].

A diagnosis of PAL was retained when the FEV1/FVC ratio post-bronchodilator was less than 0.70. PAL patients were rated either as stage 1 (i.e., FEV1 was > 80% of the predicted value) or stage 2+ (i.e., FEV1 was \leq 80% of the predicted value). Spirometry was considered normal when the FEV1/FVC ratio was > 0.70 and FEV1 was > 80% of the predicted value before bronchodilator administration. Predicted values were based on the GLI equations [12].

All subjects in whom PAL was detected during the "screening phase" were invited to participate in the "characterization" phase of the study. Those who accepted to participate in this "characterization" phase were included in two subgroups, namely dairy farmers with PAL (DF-PAL) and non-farmers with PAL (NF-PAL). Subjects with normal spirometry who had participated in the "screening" phase of the study were frequency matched with the PAL subjects in terms of age, body mass index (BMI), tobacco smoking (in pack-years) and sex; these subjects with normal spirometry constituted 2 additional subgroups, namely, dairy farmers without PAL (DF-Controls) and non-dairy farmers without PAL (NF-Controls). Ethical approval was received from the local Ethics Committee (CPP Est; 11/617), and written consent was obtained from all subjects.

Procedures

During the "characterization" hospital visit, subjects had a second spirometry test performed by a physician specialized in physiology, as well as several standardized questionnaires and allergological examinations. The medical questionnaire was an adapted French translation of the long version of the European Community Respiratory Health Survey questionnaire [13, 14]. The occupational questionnaire was sent to the subjects 10 days before their medical examination, collected during the medical examination, and reviewed in the presence of the subject [5, 15].

Total IgE concentration in the blood and allergenspecific IgE against 21 common food and inhalant allergens were assessed. Allergen mix was performed as the first-line. If the allergen mix was positive, then allergenspecific IgE were determined.

Blood assays were performed using enzyme-linked immunosorbent assay (ELISA), ImmunoCAP[®] (Thermo-Fisher Scientific/Phadia, Uppsala, Sweden), in the Biochemistry Laboratory at the University Hospital of Besançon, France. The total and allergen-specific IgE concentration in the blood were dichotomized at the detection limit of 100 kIU/L and 0.35 kUA/L, respectively [16, 17]. A polysensitized patient was defined as a subject having at least three positive tests for allergenspecific IgE.

In addition, analyses of dust from each patient dwelling and specific patient sensitization to his environment have been previously published [18].

Statistical analysis

Qualitative variables are presented as number and percentage, and quantitative variables as mean ± standard deviation (SD) or median and interquartile range (IQR) (Q1-Q3).

Characteristics of PAL and control subjects were compared using the Student t-test or Wilcoxon test as appropriate; and the Chi-square or Fisher's exact test as appropriate, for quantitative and qualitative variables, respectively.

Bivariate analyses were used to compare the results of clinical and biological markers of atopy in DF-PAL vs DF-controls, and in NF-PAL vs NF controls. The association between these atopy markers and PAL in farmers and PAL in non-farmers was assessed by using logistic regressions with adjustment for age, smoking status (<1 pack-year (reference), 1–15 pack-years and >15 pack-years) and sex (female as reference). Odds ratios are presented using forest plots. We used different multivariate regression models to explain PAL in the whole population in order to test the relevant atopy markers with adjustment for farming status, age, sex and smoking. Odds ratios are given with 95% confidence intervals (CI).

A *p*-value < 0.05 was considered statistically significant. Statistical analyses were performed using SAS version 9.4 (SAS Institute, Inc., Cary, NC, USA).

Results

Among the 8106 subjects who underwent screening, 6704 were affiliated to the Mutualité Sociale Agricole

(MSA), i.e. approximately 40% of the regional target population for the free health check-up. The remaining 60% of subjects were sampled to assess the representativeness of our study population. Among the 8106 subjects, 4963 met the inclusion criteria for the study (60.8%). Among the 2384 farmers with interpretable spirometry, 191 (8.01%) suffered from PAL. In total, 355 patients with PAL (191 dairy farmers and 164 nonfarmers) and 3188 patients with normal spirometry were identified. 2 hundred and 10 PAL patients and 193 non-PAL matched controls agreed to take part in the "characterization" phase of the study during which 30 subjects had to be excluded, mainly owing to the existence of a history of asthma or to occupational exposure other than dairy farming. Finally, a total of 373 subjects were included: 101 DF-PAL, 98 DF-Controls, 85 NF-PAL and 89 NF-Controls (Table 1 and Fig. 1).

A total of 365 subjects affiliated to the MSA and not present at the screening were successfully contacted. They were older on average $(61.9 \pm 10.0 \text{ vs } 60.2 \pm 9.7 \text{ m})$ years, p = 0.0014) and more often male (72.6% vs 57.5%, p < 0.0001) than subjects who participated in the screening, but their smoking status was not statistically different. In addition, patients who participated in the hospital "characterization" visit were not different from those screened (PAL and non-PAL groups) in terms of smoking status, sex-ratio, spirometry (% of theoretical values), but they were younger (60.3 vs 64.2 years, p = 0.0047).

The main features of the four groups are summarized in Table 1. Both PAL groups were composed mainly of mild PAL with preserved FEV1. The proportion of current-smokers and former-smokers was lower among farmers than in non-farmers. The proportion of men was higher among farmers than among non-farmers, but the difference was not statistically significant.

The mean level of bronchodilation during the bronchodilation test was similar in DF-PAL and NF-PAL: $8.2\% \pm 8.2$ vs $7.8\% \pm 7.5$, p = 0.7202, respectively.

The atopy markers among the groups are shown in Table 2. There was a statistically significant relation between a self-reported personal history of allergy and PAL. In dairy farmers, the frequency of sensitizations to

Table 1 Characteristics of the study patients (n = 373)

Data are presented as n, n (%) or mean ± SD, unless otherwise stated

COPD chronic obstructive pulmonary disease, DF-COPD dairy farmers with COPD, NF-COPD non-dairy farmers with COPD, SD standard deviation, BMI body mass index, mMRC modified Medical Research Council, SGRQ St George's Respiratory Questionnaire, FVC forced vital capacity, FEV1 forced expiratory volume in 1 s * p < 0.05, vs NF- COPD, ** p < 0.01, vs NF- COPD

Significant P values (< 0.05) are in bold

	DF- COPD	DF-Control	<i>p</i> -value	NF- COPD	NF-Control	p-value
	<i>n</i> = 101	n = 98		n = 85	n = 89	
e, years	60.3 ± 9.1	59.3 ± 8.9	NS	61.4 ± 7.6	60.2 ± 6.5	NS
n	86 (85.1)	86 (87.8)	NS	66 (77.6)	65 (73.0)	NS
I	26.5 ± 4.1	27.0 ± 4.1	NS	26.1 ± 4.1	26.8 ± 3.8	NS
oking status			NS			NS
Non-smoker	48 (47.5)**	54 (55.1)		7 (8.2)	12 (13.5)	
Former-smoker	33 (32.7)**	32 (32.7)		36 (42.4)	42 (47.2)	
Currentsmoker	20 (19.8)**	12 (12.2)		42 (49.4)	35 (39.3)	
oking pack years			NS			NS
< 1	49 (48.5)**	55 (56.1)		7 (8.2)	13 (14.6)	
-15	18 (17.8)**	21 (21.4)		13 (15.3)	15 (16.9)	
> 15	34 (33.7)**	22 (22.5)		65 (76.5)	61 (68.5)	
cerbation	23 (22.8)			23 (27.1)		
least one respiratory symptom	54 (53.1)	21 (21.3)	< 0.001	51 (60.0)	23 (25.9)	< 0.001
spnea, mMRC> 0	38 (38.0)	15 (15.3)	< 0.001	39 (45.9)	19 (21.4)	< 0.001
RQ	15.1 ± 12.9*	7.3 ± 7.2	< 0.001	19.4 ± 14.9	9.3 ± 9.3	< 0.001
/1 /FVC post-BD, %pred	63.0±6.8*	78.5 ± 4.3	< 0.001	60.6 ± 8.0	79.9 ± 4.1	< 0.001
/1 post-BD, %pred;	85.2±14.8*	105.5 ± 10.9	< 0.001	80.0 ± 16.4	108.3 ± 12.7	< 0.001
C post-BD, %pred;	105.3 ± 15.7	104.8 ± 11.4	NS	102.1 ± 14.8	105.7 ± 12.0	NS
erity of airway obstruction, n (%)						
Stage I	44 (44)			33 (39)		
Stage II +	57 (56)			52 (61)		

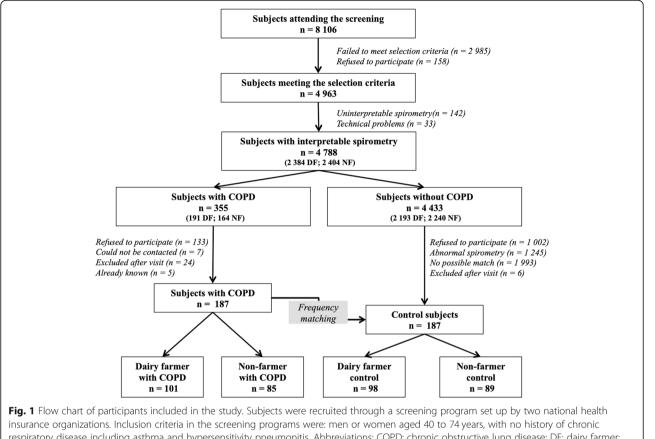
Fig. 1 Flow chart of participants included in the study. Subjects were recruited through a screening program set up by two national health insurance organizations. Inclusion criteria in the screening programs were: men or women aged 40 to 74 years, with no history of chronic respiratory disease including asthma and hypersensitivity pneumonitis. Abbreviations: COPD: chronic obstructive lung disease; DF: dairy farmer; NF: non farmer

Table 2 History of atopy, specific IgE level and	total IgE amount among	dairy-farmers and non-dairy-farmers
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	DF-COPD	DF-Control	p-value	NF- COPD	NF-Control	p-value
	n = 101	n = 98		n = 85	n = 89	
Personal history of hay fever, %	8.9	10.2	NS	14.1	12.8	NS
Personal history of atopic dermatitis, %	5.0	5.1	NS	9.6	4.6	NS
Personal history of allergy, %	60.0	44.3	0.0277	70.2	52.8	0.0187
Family history of allergy, %	46.4	32.9	NS	42.6	41.1	NS
Wheezing, %	31.7	6.3	< 0.0001	29.4	11.2	0.0028
Total IgE amount						
median (Q1-Q3)	40 (17–125)	37 (18–81)	NS	42 (13–114)	28 (15–75)	NS
> 100 IU/mL, %	30.3	24.7	NS	31.7	17.1	0.0256
Positive specific IgE (> 0.35 kUA/L)						
At least one, %	21.8	14.4	NS	28.2	23.9	NS
Food allergens, %	16.8*	4.1	0.0037	7.1	5.6	NS
Perennial inhalant allergens, %	10.9	9.3	NS	10.6	12.4	NS
Seasonal inhalant allergens, %	11.9	3.1	0.0195	21.2	11.4	NS
Polysensitized (at least 3 lgE)	13.8	1.0	0.0006	8.2	6.7	NS

COPD chronic obstructive pulmonary disease, DF-COPD dairy farmers with COPD, NF-COPD non-dairy farmers with COPD, SD standard deviation, allergy was self reported, history of allergy covered nasal allergies including hay fever, eczema or any kind of skin allergy, or allergy to insect stings or bites. * p < 0.05, vs NF- COPD

Significant P values (< 0.05) are in bold



food allergens and seasonal inhalant allergens was significantly higher in DF-PAL. No significant relationship was found between atopy markers and PAL in nonfarmers. Overall, specific IgEs were higher in the DF-PAL group than in the DF-controls for all allergens (Fig. 2). No significant relationship was found between occupational exposure in dairy farming and PAL in farmers (additional file 2).

As there were slight, albeit non-statistically significant differences between PAL groups and their controls in terms of age, gender and smoking, we adjusted for these variables when comparing the distribution of biological markers of atopy in PAL and controls separately in farmers and in non-farmers (Fig. 3). Results showed a higher prevalence of IgE sensitization only in PAL in farmers (Fig. 3).

Then, we constructed three different models to determine factors associated with PAL in the whole population. After adjustment for potential confounders, PAL was mainly explained by the presence of biological atopy markers and smoking (> 15 pack-years) (Table 3). The use of the same models replacing PAL by FEV1 as the outcome variable showed the same results. Models using perennial inhalant allergens or at least one IgE were not relevant. Regarding atopy markers, we did not find an association with occupational exposure after adjustment except for seasonal inhalant allergens which were inversely associated with diary farming (additional file 3).

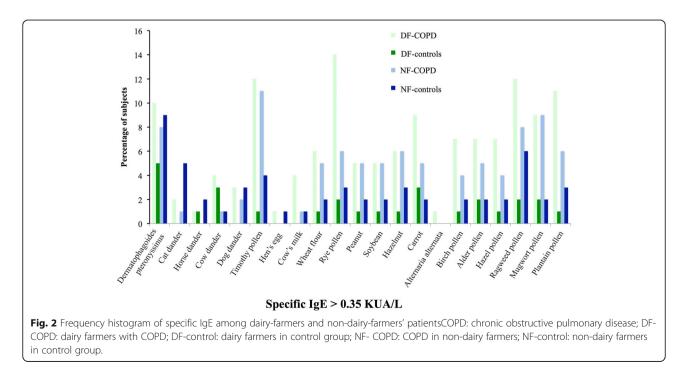
Finally, non-smoker DF-PAL were compared to smoker DF-PAL (current and former) for age, sex, clinical and biological atopy markers. No differences were identified.

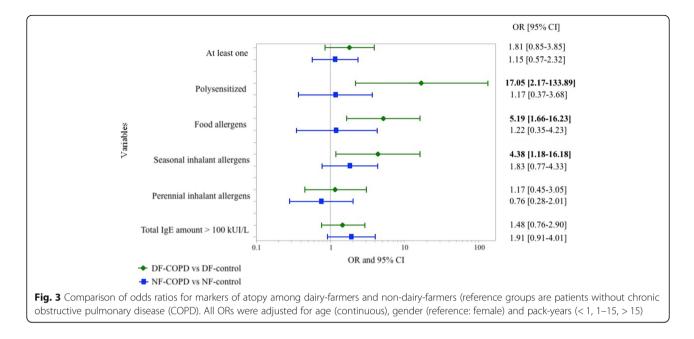
Discussion

In this study, the frequency of atopy markers was higher in PAL-groups than among controls, but the difference was driven by a significantly higher rate among dairy farmers only. Our study suggests that IgE-mediated reactions may play a role in PAL development in dairy farming. This hypothesis is reinforced by the fact that in farmers, there was no relationship between occupational exposure and either PAL or atopy markers. Indeed, data issued from the same cohort showed similar exposure pattern among dairy farmers and therefore exposure could not explain the occurrence of COPD [18]. This may suggest a key role of individual factors in the genesis of COPD in dairy farming.

Since atopy is not a usual characteristic for subjects with COPD, this supports the hypothesis that COPD in dairy farmers is different than COPD associated with tobacco smoking. Our results suggest that IgE-mediated reactions (therefore possibly a Th2 cytokine production profile) are involved in the development of COPD in dairy farming. COPD due to tobacco smoking is dominated by Th1-type lymphocyte response [6] and is associated with Th17 cytokine production [7]. Conversely, COPD induced by exposure to biomass smoke might be associated with a predominantly Th2-type lymphocyte production profile, as in asthma, and an increase of IL-4 [7, 19]. Moreover, emphysema is rarely found in this type of COPD [7]. COPD in dairy farming could be close to COPD induced by exposure to biomass smoke [19].

The involvement of atopy in COPD has never been extensively studied, to the best of our knowledge. Eduard





et al. reported that Norwegian farmers with atopy had a significantly lower FEV1 than non-atopic counterparts [8]. However, in their study, no bronchodilation test was performed, and the relationship between FEV1 and atopy disappeared after the exclusion of asthmatics. In addition, atopy was defined as a positive ImmunoCap® result [8]. In our study, measurements of allergenspecific IgEs against 21 common food and inhalant allergens were performed. Another study in farmers showed that a total IgE concentration > 180 kIU/L was associated with lower FEV1 [20]. More recently, Cushen et al. observed that among non-smoker Irish farmers, those with lung obstruction more often had histories of hay fever or allergies [21]. However, according to the authors, the presence of asthmatics at least partly explains these results. Nonetheless, in previous studies, no significant relationships emerged between atopy markers and COPD. Ours seems to be the first study showing a strong link between markers of atopy and COPD. In contrast with previous studies performed in the Franche-Comté region [5], we did not find any link between the level or type of occupational exposure and agricultural COPD prevalence. The most likely explanation is a progressive homogenization of occupational exposure, with modernization of dairy farms over time.

The associations observed in our study between COPD in dairy farmers and IgE sensitization concerns mainly seasonal allergens. These results are in line with the excess of prior history of personal allergy, and the higher degree of wheezing observed in DF-COPD. However, the absence of any difference between groups of farmers regarding hay fever is unusual (Table 2). This is possibly due to the fact that we excluded asthmatics and consequently, allergic subjects. The relationship between COPD in farmers and food allergens may be partially explained by cross-reactions between food and seasonal inhalant allergens. It is noteworthy that the protection against allergy conferred by dairy farming observed in the large PASTURE European birth cohort that included farmers living in the same region as the present study, also concerned seasonal and food allergens, but not perennial ones [22]. Dairy farming may be associated with a reduced risk of lung cancer [23] and is known to protect against allergy in children, but possibly also in adults [9]. Therefore, those who still develop atopy even with an early-life farm exposure are those who may be at risk of COPD. Besides, this occupational environment is also known to generate COPD, especially in regions where organics dusts and microorganisms constitute the main exposure [4, 5]. We can therefore hypothesize that this exposure in atopic subjects causes a bronchial disease different from asthma, which is characterized by a FEV1/ FVC ratio after bronchodilation < 0.7. Analyses of cytokine measurements as well as IgE and IgG-mediated reactions against microorganisms present in the home of the studied subjects are planned and could be of substantial interest in understanding the mechanisms of COPD in dairy farmers.

Our study includes limitations inherent to a large screening program. Only subjects who accepted to undergo a health check-up were included in the COPD screening program. In addition, only half the subjects who had COPD detected by screening accepted to attend the hospital visit for "characterization". It has previously been reported that among all subjects who were invited to health check-ups organized by the French
 Table 3 Adjusted odds ratios for chronic obstructive pulmonary
disease in the whole population considering three tested indicators of atopy

	COPD	COPD		
	OR	95% CI	p-value	
Model 1				
Food allergens; ref.: no	2.71	1.23–6.46	0.0176	
Nasal allergies; ref.: no	2.17	1.34–3.56	0.0019	
Farmers; ref.: non-farmer	1.41	0.86-2.31	0.1725	
Age; continuous	1.02	0.99–1.05	0.1259	
Sex; ref.:female	1.06	0.61-1.84	0.8370	
Pack-years; ref. < 1	1			
1–15	1.13	0.60-2.13	0.7039	
> 15	1.69	0.98-2.92	0.0599	
Model 2				
Seasonal inhalant allergens; ref.: no	2.57	1.29–5.39	0.0091	
Nasal allergies; ref.: no	2.21	1.36–3.63	0.0015	
Farmers; ref.: non-farmer	1.57	0.96-2.60	0.0746	
Age; continuous	1.02	0.99–1.05	0.1681	
Sex; ref.:female	1.04	0.60-1.82	0.8861	
Pack-years; ref. < 1	1			
1–15	1.07	0.57-2.01	0.8352	
> 15	1.64	0.96-2.85	0.0737	
Model 3				
Polysensitized (at least 3 lgE); ref.: no	3.45	1.46–9.12	0.0073	
Nasal allergies; ref.: no	2.25	1.38–3.70	0.0011	
Farmers; ref.: non-farmer	1.44	0.89–2.37	0.1427	
Age; continuous	1.02	0.99–1.05	0.1081	
Sex; ref.:female	1.15	0.66-2.02	0.6178	
Pack-years; ref. < 1	1			
1–15	1.14	0.61-2.14	0.6849	
> 15	1.67	0.97–2.89	0.0669	

Logistic regressions of chronic obstructive pulmonary disease (COPD) for atopy markers and covariates that are known to be independent risk factors for COPD; models using perennial inhalant allergens and at least one IgE like indicators of atopy have shown no significant difference Significant P values (< 0.05) are in bold

agricultural health insurance system, those who attend these check-ups and those who do not have different health characteristics [4]. It is therefore possible that our population was not representative of the whole population of dairy farmers (target population) regarding health status. Our studied population, however, is not different from the target population in terms of smoking habits. There are significant differences for age and sex ratio, but neither of these factors were associated with atopy markers in the present analysis. Finally, our outcomes can only be considered robust if we are sure that the diagnosis of COPD was accurate, and that patients with asthma were truly excluded. All reasonable precautions were taken to verify the diagnosis of COPD. Spirometries were performed by trained nurses during the "screening" phase, followed by diagnostic confirmation in the Department of Respiratory Medicine & Physiology of our university hospital. Subjects with self-reported asthma were excluded. Therefore, we can rule out an asthma-COPD-overlap syndrome, which would have been more frequent in DF-COPD. Farmer's lung disease, another possible differential diagnosis in our farming region, was also ruled out by a question in the medical questionnaire and thanks to local expertise in this disease [24].

Conclusion

Dairy farmers with COPD are more polysensitized than non-farming patients with COPD, and more polysensitized, sensitized to food and seasonal inhalant allergens than non-COPD subjects. These results expand the hypothesis that atopy may be a risk indicator for COPD in dairy farming, distinguishing it from COPD associated with tobacco smoking.

Additional files

Additional file 1: Supplementary methods (DOCX 25 kb)
Additional file 2: Occupational characteristics of dairy farming COPD and controls. (DOCX 20 kb)
Additional file 3: Adjusted odds ratios for markers of atopy in the whole population considering three tested indicators (DOCX 17 kb)

Abbreviations

BMI: Body mass index; CI: Confidence intervals; COPD: Chronic obstructive pulmonary disease; DF: Dairy farmers; ELISA: Enzyme-linked immunosorbent assay; FEV1: Forced expiratory volume in 1 s; FVC: Forced vital capacity; IQR: Interguartile range; mMRC: modified Medical Research Council; MSA: Mutualité Sociale Agricole; NF: Non-dairy farmers; SD: Standard deviation; SGRQ: St George's Respiratory Questionnaire

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Authors' contributions

all authors contributed to the content and writing of the manuscript. In addition: J-CD was the principal investigator and contributed the original idea for the study; MVP, RV, BD and J-CD made substantial contributions to the conception and design of the work; MVP, RV and AG performed all analyses; J-CD and BD obtained study funding; IAM, PA, NR, SJ, BC and J-JL made substantial contributions to the acquisition or interpretation of data for the work; MVP wrote the first draft of the manuscript. MVP, TS and J-CD revised the manuscript for important intellectual content. All authors have read and approved the final manuscript.

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Availability of data and materials

All data generated or analysed during this study are included in this published article and its supplementary information files.

Ethics approval and consent to participate

Ethical approval was received from the local Ethics Committee (CPP Est; 11/ 617) and written consent was obtained from all subjects.

Consent for publication

Written consent was obtained from all subjects.

Competing interests

Dr. Roche reports grants and personal fees from Boehringer Ingelheim, Pfizer and Novartis, personal fees from Teva, GSK, AstraZeneca, Chiesi, Mundipharma, Cipla, Sano, Sandoz, 3 M, Zambon, outside the submitted work. Dr. Dalphin reports grants, personal fees and non-financial support from Novartis Pharma, GSK, Chiesi, Intermune, AstraZeneca, Boehringer ingelheim and non-financial support from Stallergenes, outside the submitted work.

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